

5D Stringy Black Holes

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Outline

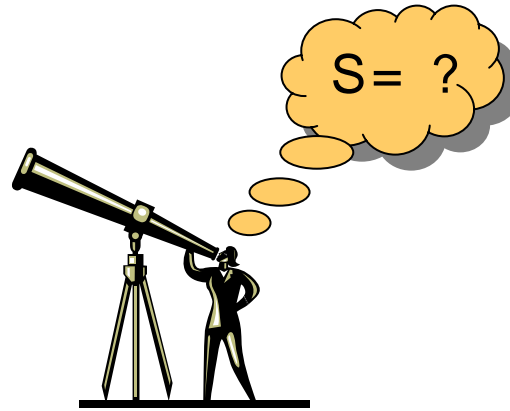
- Logic of standard black hole entropy computations.
What is (not) being tested.
- 5D gives rich set of examples:

holes, strings, and rings
- 4D BHs can be obtained via dim. red. from 5D
- Study these solutions in the presence of quantum/string corrections.
- Gives new quantitative and qualitative results:

entropy corrections, singularity resolution, fundamental string solutions, 4D/5D connection, ...

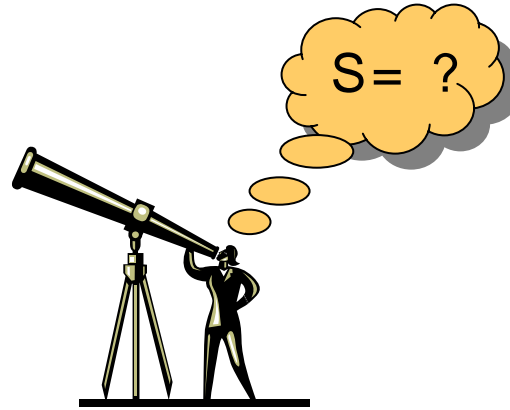
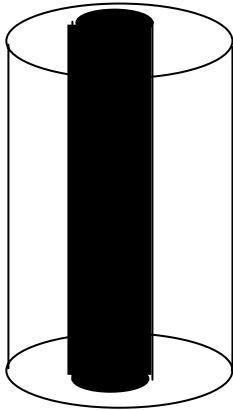
Why do statistical and gravitational entropies agree?

- Usual story: Count BPS states. Degeneracies don't change between weak and strong coupling.
- But...
 - sometimes get agreement for non-BPS and non-extremal black holes
 - not obvious a priori that gravitational entropy counts the total number of states. Maybe only those "at the horizon"; entanglement entropy ...
- Stat. and grav. computations look very different. Sensitive test of string theory?
- Can we instead show they are the same computation?



weak coupling: brane

- Cardy formula:
$$S = 2^{1/4} \frac{p}{6} h_L + 2^{1/4} \frac{p}{6} h_R$$
 - (0,2) susy:
$$J(z)J(0) \gg \frac{k}{z^2} + \dots ; \quad c = 6k$$
 - chiral anomaly:
$$\textcircled{A} J^1 \gg k^{2^1 0} F_{10}$$
 - inflow:
$$S_{\text{bulk}} \gg k^R A \wedge dA$$
- c_L ; c_R determined from grav. CS term via di \textcircled{R} anomaly
- Summary: entropy can be determined by asymptotic observer



strong coupling: AdS

- Cardy formula:

$$S = 2^{1/4} \frac{p}{\frac{c_L}{6} h_L} + 2^{1/4} \frac{p}{\frac{c_R}{6} h_R}$$

- (0,2) susy:

$$J(z)J(0) \gg \frac{k}{z^2} + \dots ; \quad c = 6k$$

- chiral anomaly:

$$\textcircled{J}^1 \gg k^{2^1 0} F_{1 0}$$

- inflow:

$$S_{\text{bulk}} \gg k^R A \wedge dA$$

c_L ; c_R determined from grav. CS term via di® anomaly

- Summary: Same computation as before

Comments

- Agreement follows, regardless of what is actually counted by S_{grav}
- All higher deriv. corrections appearing though c taken into account.
- Argument says nothing about other corrections subleading in $h_{L;R}$
- Explains all “solid” entropy comparisons.
- Highlights importance of understanding other cases.

4D higher derivative corrections

- Much work on 4D BPS solutions with R^2 corrections (Cardoso, de Wit, Mohaupt, ...)
- Gives agreement with BPS micro. entropy, but wrong answer for non-BPS (Sen)
- Agreement also for BPS small black holes (Dabholkar)
 - Surprising (at first), since no small parameter suppressing R^4 terms

5D higher derivative corrections

- Situation clearer in 5D:

Just need to check symmetries, anomalies, and finite size AdS_3

- Only higher derivative anomalous term:

coefficient known $\rightarrow c_{21} A^I \text{Tr} R \wedge R$

- Would like to find solutions in presence of susy completion of this term

5D R^2 supergravity

- Fully off-shell $N = 2$ action constructed by Hanaki, Ohashi, Tachikawa

$$e^a; \tilde{A}_1; A^I; M^I; -1; \underbrace{v^{ab}; D; \hat{A}}_{\text{auxiliary fields}}$$

- Two deriv. action specified by

$$\rightarrow G_{JK} A^I \wedge F^J \wedge F^K$$

CY intersection numbers

- 4-deriv action believed to be uniquely fixed by susy

5D 4-deriv terms

$$\begin{aligned}
 & \frac{C_{21}}{24} \frac{1}{16} {}^2_{abcde} A^l{}_a C^{bcf}{}_g C^{de}{}_f{}_g + \frac{1}{8} M^l C^{abcd} C_{abcd} + \frac{1}{12} M^l D^2 + \frac{1}{6} F^{lab} v_{ab} D \\
 & + \frac{1}{3} M^l C_{abcd} v^{ab} v^{cd} + \frac{1}{2} F^{lab} C_{abcd} v^{cd} + \frac{8}{3} M^l v_{ab} \hat{D}^b \hat{D}_c v^{ac} \\
 & + \frac{4}{3} M^l \hat{D}^a v^{bc} \hat{D}_a v_{bc} + \frac{4}{3} M^l \hat{D}^a v^{bc} \hat{D}_b v_{ca} + \frac{2}{3} M^l {}^2_{abcde} v^{ab} v^{cd} \hat{D}_f v^{ef} \\
 & + \frac{2}{3} F^{lab} {}^2_{abcde} v^{cf} \hat{D}_f v^{de} + F^{lab} {}^2_{abcde} v^c{}_f \hat{D}^d v^{ef} + \frac{4}{3} F^{lab} v_{ac} v^{cd} v_{db} \\
 & + \frac{1}{3} F^{lab} v_{ab} v^2 + 4 M^l v_{ab} v^{bc} v_{cd} v^{da} + M^l (v^2)^2
 \end{aligned}$$

•susy variations:

$$\begin{aligned}
 \pm \tilde{A}_1 &= D_1 + \frac{1}{2} v^{ab} {}_1{}_{ab} + \frac{1}{3} {}_1{}^{\circ} \phi v^2; \\
 \pm^l &= i \frac{1}{4} {}^{\circ} \phi F^l + \frac{1}{2} {}^{\circ} a @ M^l + \frac{1}{3} M^l {}^{\circ} \phi v^2; \\
 \pm \hat{A} &= D + {}^2{}^{\circ} c {}^{\circ} ab D_a v_{bc} + {}^2{}^{\circ} a {}^2_{abcde} v^{bc} v^{de} + \frac{4}{3} ({}^{\circ} \phi v)^2
 \end{aligned}$$

Two-derivative solutions (Gauntlett et. al.)

$$ds^2 = e^{4U} (dt + \omega)^2 + e^{2U} ds_B^2$$

↑
↑
 1-form on base hyperkahler base

$$F^I = d[M^I e^{2U} (dt + \omega)] + \xi^I$$

↑
 closed anti-self-dual 2-form on base

•BPS equations: $r^{-2} (M_I e^{i 2U}) = \frac{1}{2} g_{JK} \xi^J \wedge \xi^K$

$$d\omega + \frac{1}{4} d\omega^2 = -e^{i 2U} M_I \xi^I$$

$$\frac{1}{6} g_{JK} M^I M^J M^K = 1$$

$$M_I = \frac{1}{2} g_{JK} M^J M^K$$

•Simplest solution: $\omega = \xi^I = 0; \quad ds_B^2 = ds_{R^4}^2$

$$M_I e^{i 2U} = H_I = 1 + \frac{q_I}{r^2}$$

Gives 5D static BH with near horizon $AdS_2 \times S^3$

Two-derivative solutions cont.

- More generally, choose Gibbons-Hawking base space:

$$ds_B^2 = \frac{1}{H^0} (dx^5 + \hat{A})^2 + H^0 dx^2$$
$$r \in \hat{A} = ; r H^0$$

- System admits solution in terms of harmonic functions on \mathbb{R}^3

$$H^0; H^1; H_0; H_1$$

- Various choices give 5D black holes, 5D black strings, 5D black rings, and 4D black holes

Relation to 4D BPS equations

Change of variables:

$$Y^0 = i \frac{1}{2} (e^{2\hat{A} + 2U}) H^0$$

$$Y^I = i e^{2\hat{A} + 2U} M^I + \frac{1}{2} (e^{2\hat{A} + 2U}) H^I$$

$$! = !_5 (dx^5 + \hat{A}) + \hat{A}$$

$$e^{4\hat{A}} = \frac{e^{2U}}{H^0} i e^{4U} !_5^2$$

$$e^{2g} = \frac{e^{2U + 2\hat{A}}}{H^0}$$

5D BPS equations become:

$$Y^A i \bar{Y}^A = i H^A$$

$$F_A i \bar{F}_A = i H_A$$

$$i(\bar{Y}^A F_A i \bar{F}_A Y^A) = \frac{1}{2} e^{i 2g}$$

$$H^A r H_A i H_A r H^A = \frac{1}{2} r \epsilon \hat{A}$$

$$F = i \frac{1}{6} \frac{c_{IJK} Y^I Y^J Y^K}{Y^0}$$

Higher deriv BPS equations

- Maxwell equation

$$r^{-2} (M_I e^{i 2U}) = \frac{1}{2} g_{JK} \mathcal{F}^J \wedge \mathcal{F}^K$$

becomes:

$$\begin{aligned} r^{-2} M_I e^{i 2U} &= \frac{c_{2I}}{24} 3(r U)^2 + \frac{1}{4} e^{6U} (d! +)^2 + \frac{1}{12} e^{6U} (d! i)^2 \\ &= \frac{1}{2} g_{JK} \mathcal{F}^J \wedge \mathcal{F}^K + \frac{c_{2I}}{24} \frac{1}{8} R^{\hat{\uparrow}\hat{\uparrow}\hat{\uparrow}\hat{\uparrow}} d! i \hat{\uparrow} d! i \hat{\uparrow} + \frac{1}{32} (R^{\hat{\uparrow}\hat{\uparrow}\hat{\uparrow}\hat{\uparrow}})^2 \end{aligned}$$

curvature of base space

Higher deriv BPS equations

- v equation of motion:

$$d^i_{\ j} \nabla_4 d^j + e^{2U} M_I \xi^I = 0$$

becomes:

$$\begin{aligned} (d^i_{\ j} \nabla_4 d^j)_{ij} + e^{2U} M_I \xi^I_{ij} = & i \frac{c_{21}}{24} \frac{h}{i} \frac{1}{6} r^2 \xi^I M^I e^{2U} (3d^i_{\ j} + d^i_{\ ij})^{\alpha} i \frac{1}{12} e^{6U} r^2 i e^{6U} \xi^I_{ij} \phi \\ & i 2 \nabla_k \nabla_j (M^I e^{2U} d^i_{\ jk}) + \frac{1}{12} e^{6U} \xi^I_{ij} i 3(d^i_{\ +})^2 + (d^i_{\ i})^2 \phi \\ & + 2e^{2U} (\nabla_k M^I \nabla_j U i \nabla_k U \nabla_j M^I) (d^i_{\ jk} + 3d^i_{\ jk}) \end{aligned}$$

Higher deriv BPS equations

- Special geometry constraint:

$$\frac{1}{6} G_{JK} M^I M^J M^K = 1$$

becomes:

$$\begin{aligned} \frac{1}{6} G_{JK} M^I M^J M^K = 1 & \quad i \quad \frac{c_{2I}}{24} e^{2U} M^I r^2 U_i + 4(rU)^2 + r M^I \phi r U \\ & + \frac{1}{4} e^{6U} M^I (d^I +)^2 + \frac{1}{3} (d^I i)^2 \quad i \quad \frac{1}{12} e^{4U} \xi^I \phi d^I i^0 \end{aligned}$$

5D static black hole

- Built out of harmonic functions:

$$H_I = H_I^1 + \frac{q_I}{r^2}$$

- Moduli determined by:

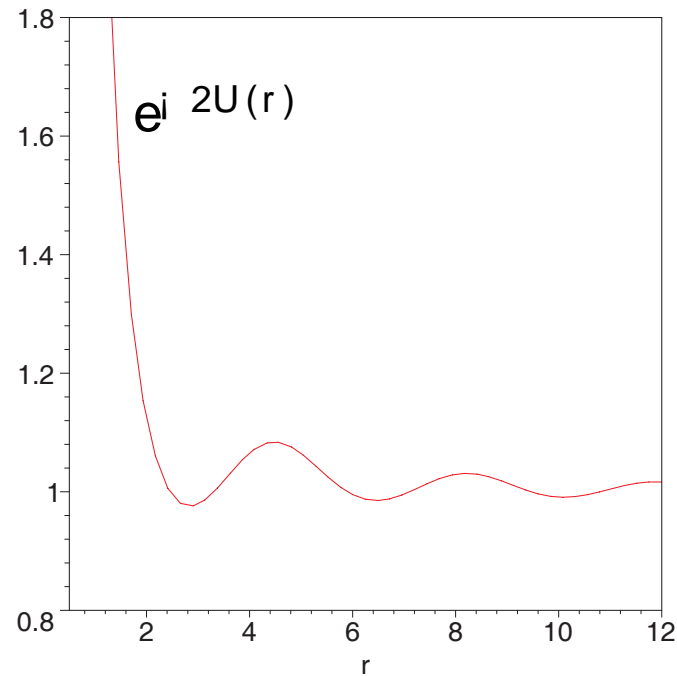
$$\frac{1}{2} G_{JK} M^J M^K = e^{2U} \left(H_I + \frac{c_{2I}}{8} (r - U)^2 \right) \phi$$

- Special geometry constraint yields ODE:

$$\frac{1}{6} G_{JK} M^I M^J M^K = 1 + \frac{c_{2I}}{24} \left(r^2 U_i - 4(r - U)^2 \right) M^I + r - U - \phi r M^I \phi$$

- Admits solutions with near horizon $AdS_2 \times S^3$ with corrected radii and moduli

Numerical solution



- Oscillatory behavior similar to 4D case (Sen), (Hubeny, Maloney, Rangamani)

Due to existence of spurious solutions of linearized HD theory

Entropy

- Compute entropy from Wald formula.
- Just find simple charge shift:

$$S(q_i) \rightarrow S(q_i + \frac{1}{8} C_{21})$$

- Geometrically:

$$S = \frac{1}{6} C_{JK} M^I M^J M^K \phi^i \frac{A}{4G} \phi$$

=1 in 2-deriv theory

- No micro formula in general, but agrees with available results (Vafa), (Huang, Klemm, Marino, Tavanfar)

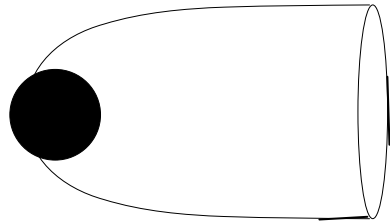
Rotating black holes

- In 2-deriv theory include spin via $d!$ +
Doesn't feed into rest of solution.
- With HD, spin does affect rest of solution
(attractor moduli, etc.)
- Entropy formula for $K3 \times T^2$

$$S = 2^{1/4} \frac{q}{\frac{1}{2} c^{ij} q_i q_j (q_1 + 3) i \frac{(q_1 i - 1)(q_1 + 3)}{(q_1 + 1)^2} J^2}$$

$$q_1 = \text{M2-brane on } T^2$$

Black holes on Taub-NUT



asymptotically $R^3 \times S^1$

- Moduli independence of entropy leads to 2-deriv formula:

$$S_{5D}(q_i; J) = S_{4D}(q_i; q_0 = J; p^0 = 1) \quad (\text{Gaiotto, Strominger, Yin})$$

- Modified in HD theory. For static hole:

$$S_{5D}(q_i) = S_{4D}(q_i; \frac{c_{21}}{24}; p^0 = 1)$$

delocalized charge from $c_{21} A^1 \wedge \text{Tr} R \wedge R$ term

Spinning black hole on $K3 \times T^2$:

$$S_{5D}(q_1; q_i; J) = S_{4D}(q_1; 1; q_i; q_0 = \frac{q_1 + 3}{q_1 + 1} J; p^0 = 1)$$

5D strings

- Branch of solutions with null $z^0, 1, 2$

$$ds^2 = e^{2U} (dt^2 + dx_4^2) + e^{4U} (dr^2 + r^2 d\Omega^2)$$

$$F^I = \frac{1}{2} p^I \epsilon_{23} S^2$$

- Now have:

$$e^{6U} = \frac{1}{6} g_{JK} H^I H^J H^K + \frac{c_{21}}{24} (r H^I \partial_r U + 2H^I r^{-2} U)$$

$$H^I = H_1^I + \frac{p^I}{r}$$

- Find solution interpolating between flat space and near horizon $AdS_3 \times S^2$

$$r_s = \frac{1}{2} r_A = \frac{1}{2} \left(\frac{1}{6} g_{JK} p^I p^J p^K + \frac{1}{12} c_{21} p^I \right)^{1/3}$$

Central charges

- AdS_3 factor implies symmetry algebra

$$\text{Vir}_L \times \text{Vir}_R \quad (\text{Brown, Henneaux})$$

- In general $c_{L,R}$ determined by c-extremization (P.K., Larsen) but given (0,4) susy just need CS terms:

$$c_{JK} A^I \wedge F^J \wedge F^K + c_{21} A^I \wedge \text{Tr} R \wedge R$$

- Gives:

$$c_L = c_{JK} p^I p^J p^K + c_{21} p^I; \quad c_R = c_{JK} p^I p^J p^K + \frac{1}{2} c_{21} p^I$$

agrees with MSW

- Unlike other results, these are not corrected by R^4 ; ... terms.

Heterotic F-strings

- For $K3 \times T^2$ with N M5-branes on $K3$ find

$$c_L = 24N; \quad c_R = 12N$$

- indeed, het. – IIA duality maps this to N heterotic F-strings
with string scale $AdS_3 \times S^2$ and $g_s \gg \frac{1}{N}$

- But now expect $(0,8)$ susy rather than $(0,4)$,
so central charges not necessarily exact (or
even correct.)

Heterotic F-string cont.

- Anomaly analysis yields:

$$c_L + c_R = 12N$$

$$k_R^{\text{SU}(2)} = 2N$$

- For N=4 algebra have $c_R = 6k_R^{\text{SU}(2)} = 12N$, but now need to know which N=8 algebra is realized.
- Superisometry group of 5D solution is

$$\text{OSp}(4^{\text{q}}|4) \quad (\text{Lapan, Simons, Strominger})$$

- Natural guess: $\mathcal{O}\text{Sp}(4^{\text{q}}|4)$ nonlinear superconformal algebra (Lapan, Simons, Strominger), (P.K., Larsen, Shah)

Nonlinear superconformal algebras

- OPEs have quadratic nonlinearities:

$$GG \gg 1 + T + J + @ + JJ$$

- Arises naturally in AdS₃ CS supergravity (Henneaux, Maoz, Schwimmer)
- Jacobi identities imply nonlinear central charge formulas:

level of R-current algebra

$$c(k) = a_0 k + a_1 + \frac{a_2}{k} + \dots$$

- Since $k \gg N \gg \frac{1}{g_s^2}$ these are string loop effects. So quantum gravity effects are determined algebraically in this theory.
- For $\mathcal{O}Sp(4^*|4)$ find:



$$C_R = i (12N + 18) \quad \text{non-unitary}$$

Comments on F-string holography

- Standard type reasoning leads to decoupling limit:

$$g_s \rightarrow 0; \quad N \rightarrow 1; \quad g_s^2 N \text{ fixed}$$

(recall solution had $g_s \gg \frac{1}{N}$)

- Leads to duality between full solution and theory with explicit source strings.
- Unlike D-brane case, low energy limit leads to free CFT.

string scale AdS_3 dual to free CFT_2 ?

many puzzles. (see Dabholkar, Murthy)

Loose ends

- Black rings
- Higher dimensions
- Worldsheet CFT for F-string solutions
- Make sense of non-unitarity of nonlinear algebra, or find actual symmetry algebra.

THE END