From Sigma-Protocols to Zero-Knowledge in the Plain Model and Beyond

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Sigma protocols

 $C \neq C$

a'

|w: **(x**,w)∈ R

Completeness

Computational

• Honest Verifier Zero-Knowledge $\mathcal{HVZK}_{Sim}(x) \Longrightarrow C'$ Special Honest Verifier Zero-Knowledge $\mathcal{SHVZK}_{Sim}(x,c) \Rightarrow a',z'$

x, (**a** C Z)

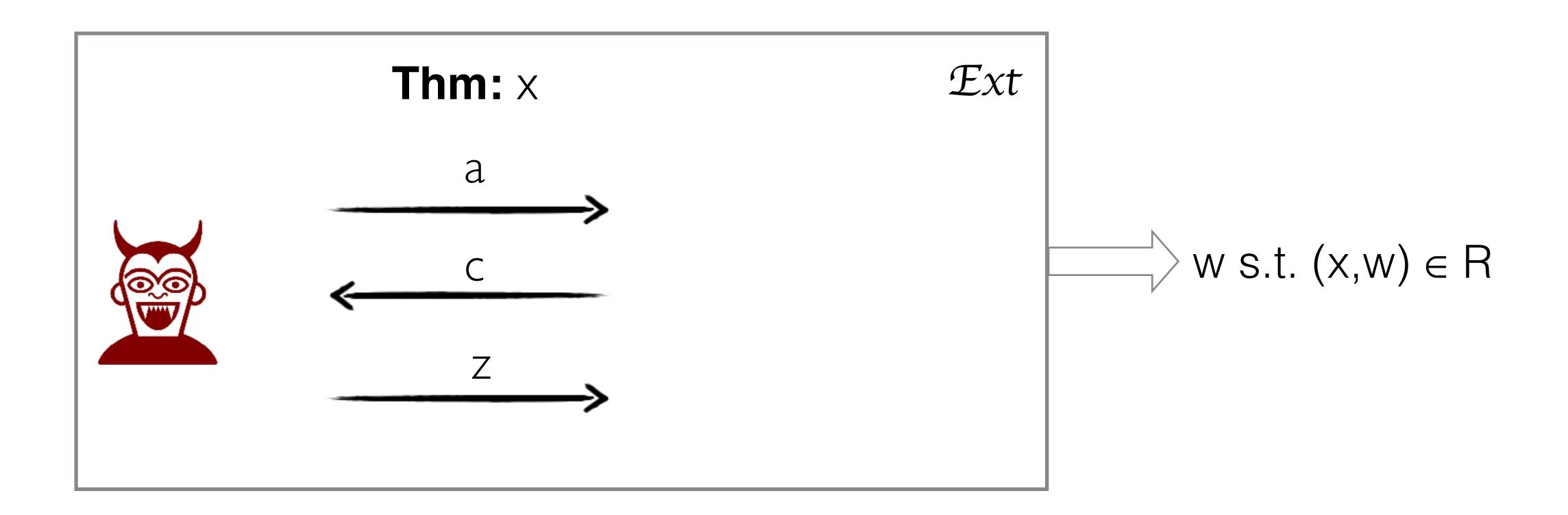
x, (**a** C' Z')

Computational

Special Soundness

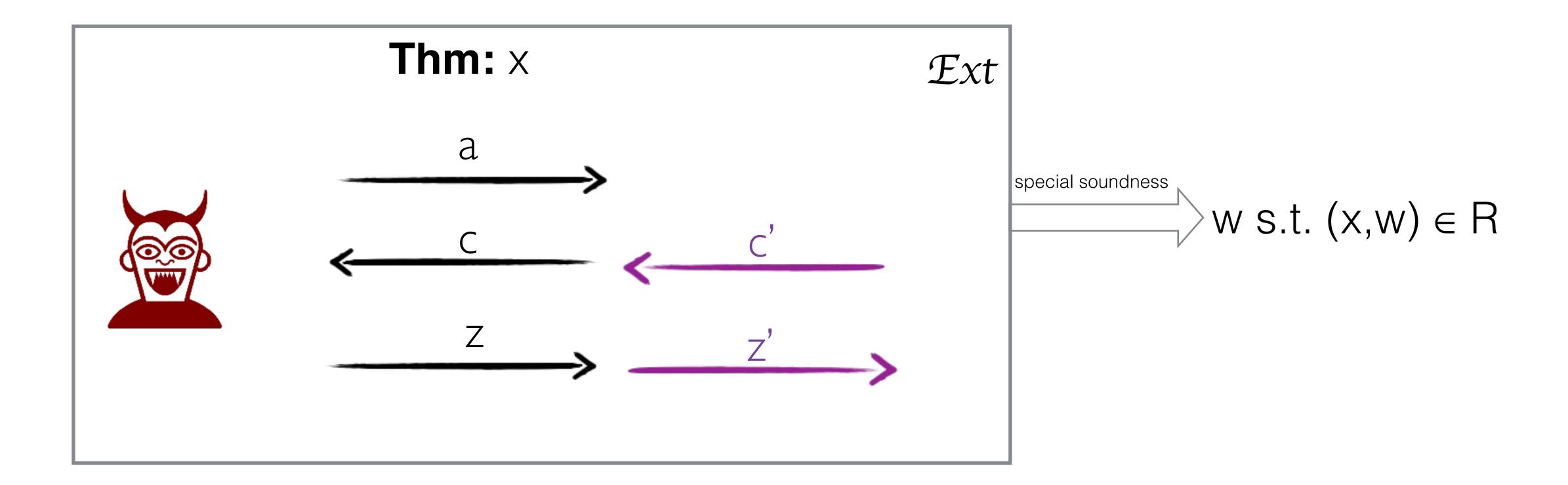


Proof of Knowledge



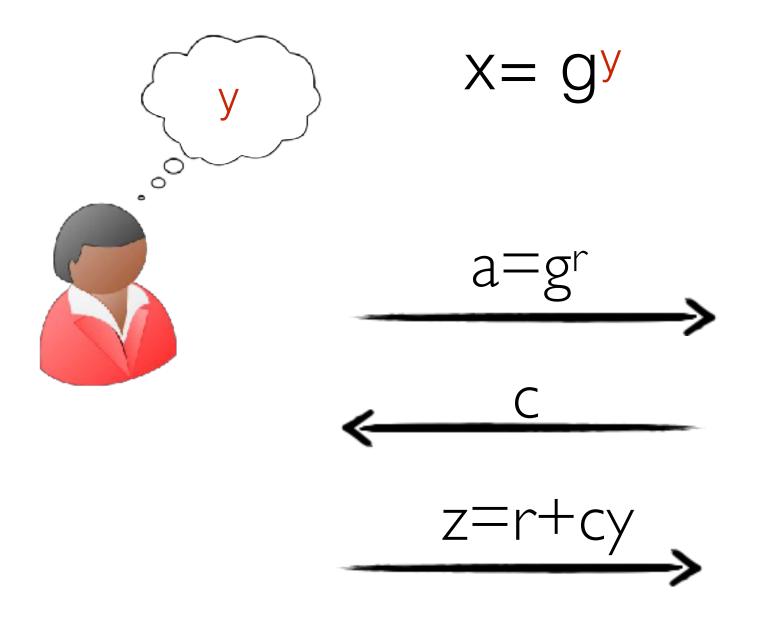
If the transcript is accepted with more than some probability p>k, then the extractor returns the witness in the expected time 1/(p-k) where k is the knowledge error

Special-soundness [D10] —> Proof of Knowledge



If the transcript is accepted with more than some probability p>k, then the extractor returns the witness in the expected time 1/(p-k) where k is the knowledge error

Schnorr protocol





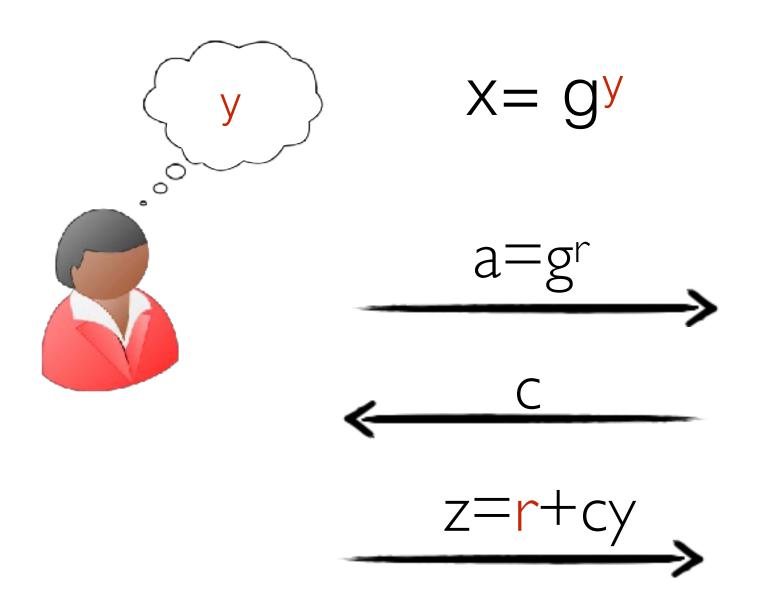
Let G be a group of order q, with generator g

Accept iff gz=axc

Special-soundness

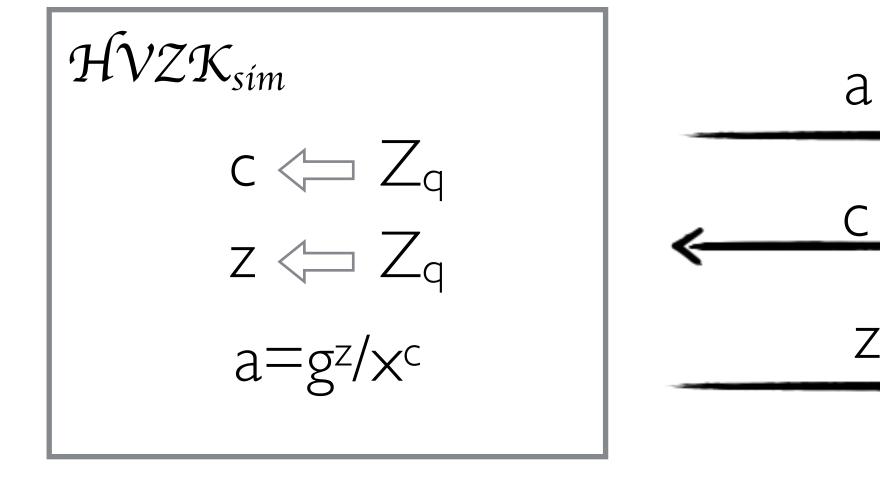
$$\begin{cases} z = r + cy & c \neq c' \\ z' = r + c'y \end{cases}$$

Schnorr protocol





Accept iff gz=axc



HVZK

$$x=(g, h, u,v)$$

Is a DH tuple if

$$u=g^y$$
, $v=h^y$

Let G be a group of order q, with generators g and h

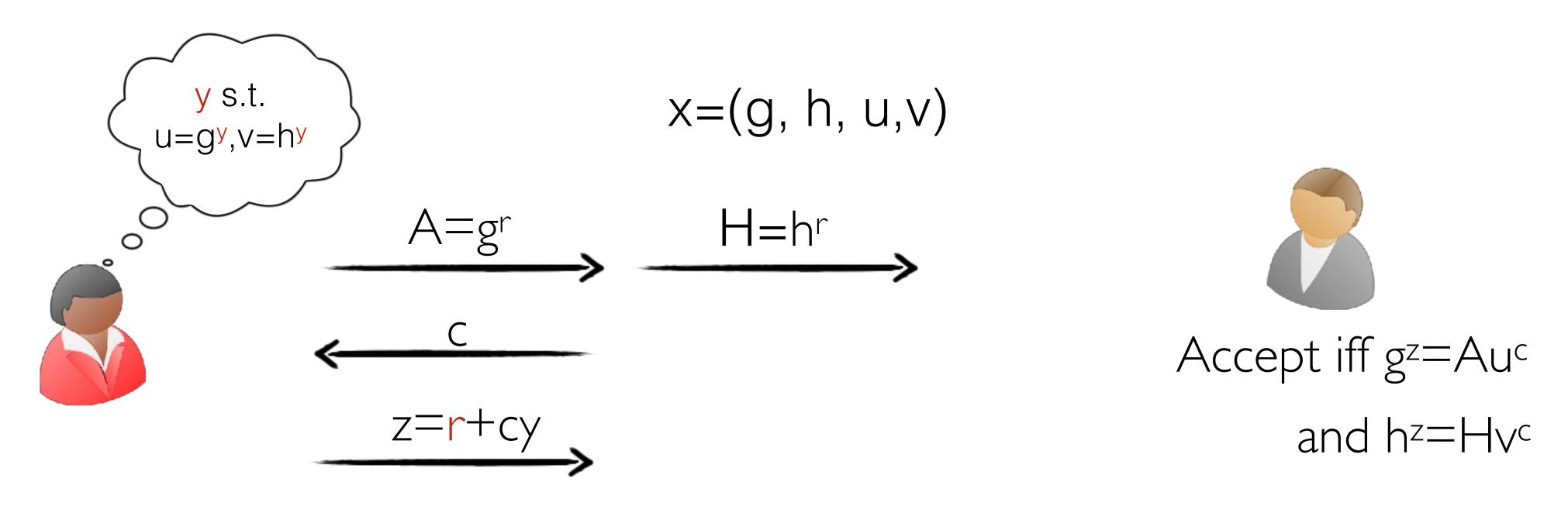
b<--{0,1}
if b=0 then

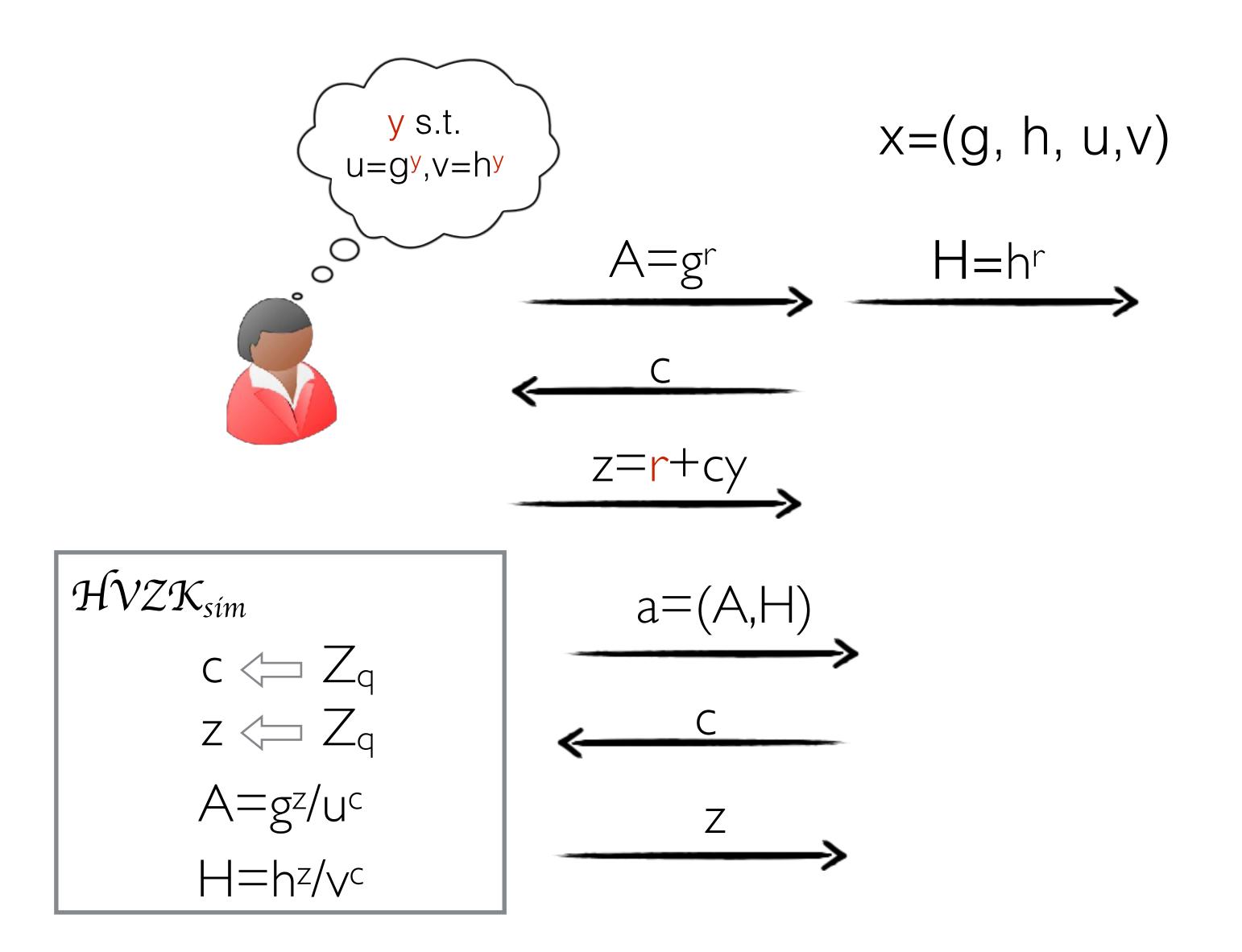
$$T=(g, h, u=g^y, v=h^y)$$

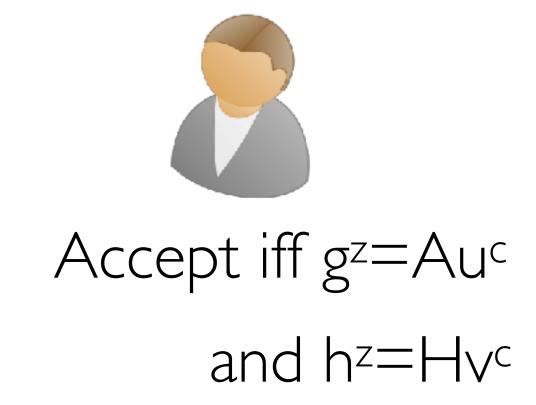
else
 $T=(g, h, u=g^y, v=h^w)$ with $y\neq w$



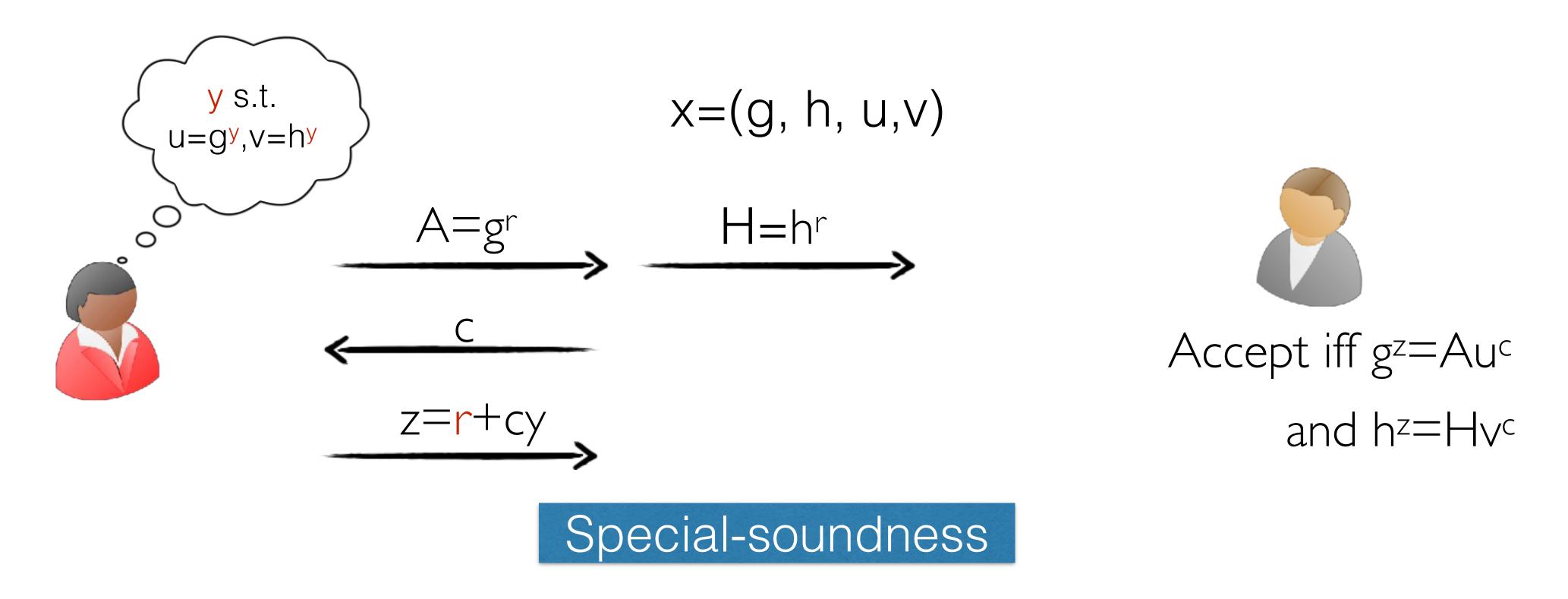






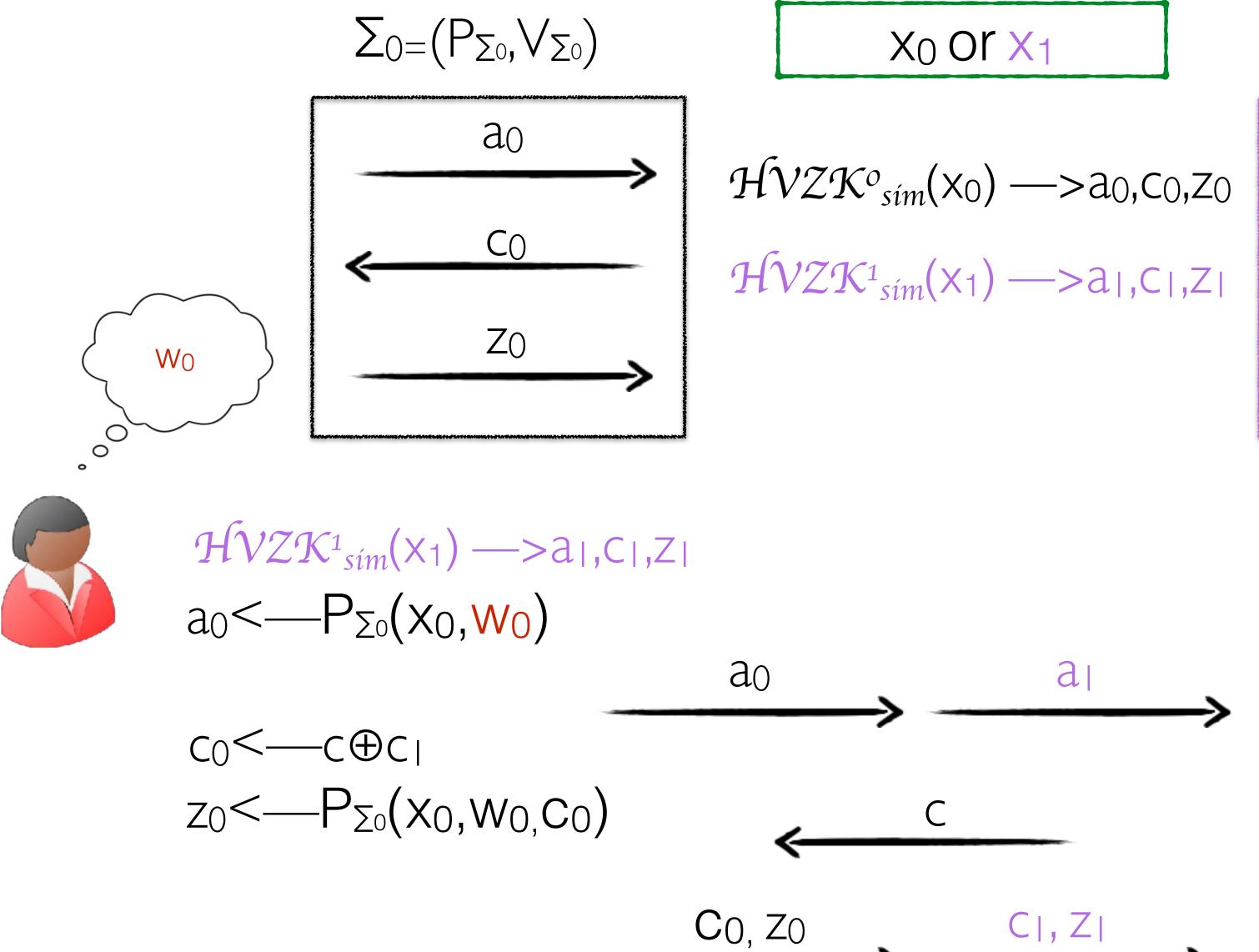


HVZK

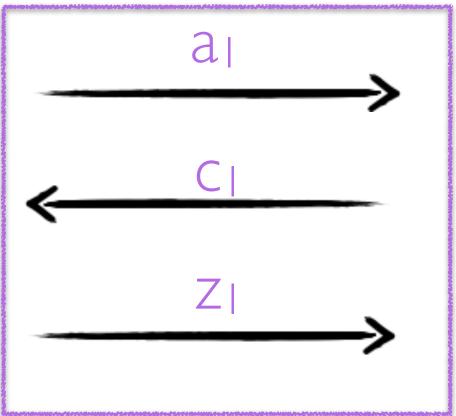


Exactly the same as the one for the Dlog protocol

OR-Composition



$$\Sigma_1 = (P_{\Sigma_1}, V_{\Sigma_1})$$



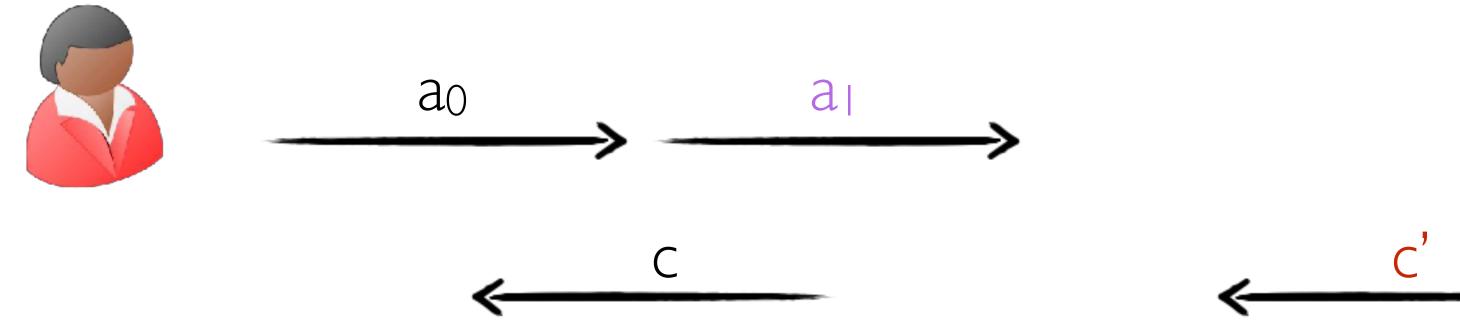


$$\begin{aligned} &\bigvee_{\Sigma_0}(x_0,a_0,c_0,z_0)=I\\ &\quad \text{and}\\ &\bigvee_{\Sigma_I}(x_I,a_I,c_I,z_I)=I\\ &\quad \text{and}\\ &c=c_0\oplus c_I \end{aligned}$$

OR-Composition

X₀ Or X₁

Special Soundness

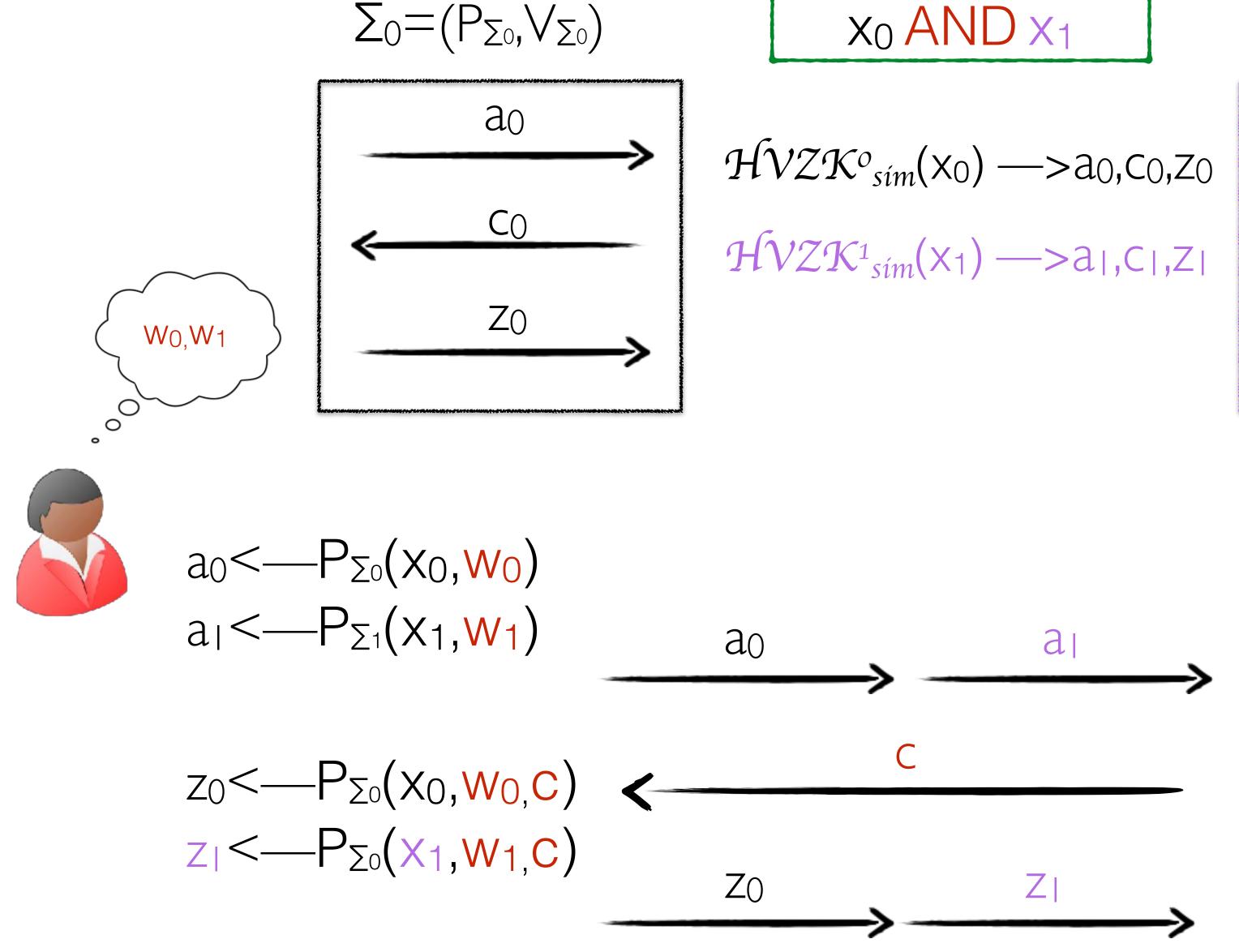




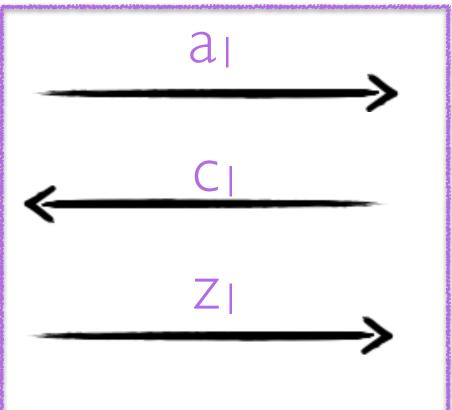
W0

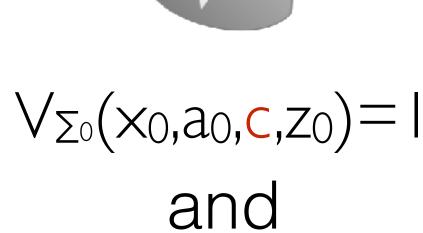
$$C_{0,Z_{0}}$$
 $C'_{0,Z'_{0}}$ $C'_{0,Z'_{0}}$

AND-Composition



$$\sum_{I} = (P_{\Sigma_{I}}, V_{\Sigma_{I}})$$





$$\bigvee_{\Sigma_{I}(x_{I},a_{I},c,z_{I})}=I$$

AND-Composition



Special Soundness



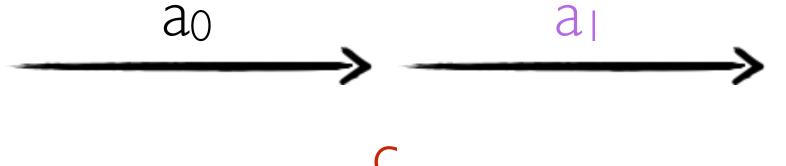


$$a_0 < ---P_{\Sigma_0}(x_0, \mathbf{w}_0)$$

$$a_1 < ---P_{\Sigma_1}(x_1, w_1)$$

$$z_0 < ---P_{\Sigma_0}(x_0, \mathbf{W_0}, \mathbf{C})$$

$$z_1 < ---P_{\Sigma_0}(x_1, w_1, c)$$



$$V_{\Sigma_0}(x_0,a_0,c,z_0) = I$$
 $V_{\Sigma_0}(x_0,a_0,c',z'_0) = I$

and

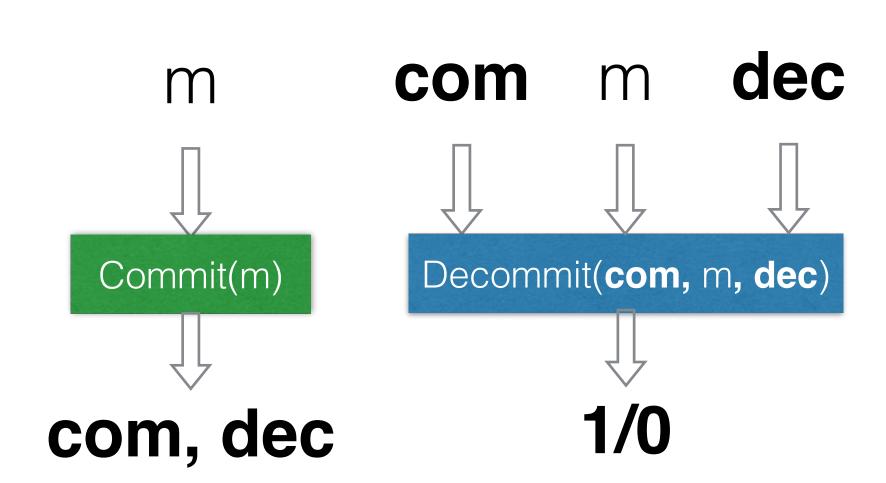
$$\bigvee_{\Sigma_{I}(\mathbf{x}_{I},\mathbf{a}_{I},\mathbf{c},\mathbf{z}_{I})}=I$$
 $\bigvee_{\Sigma_{I}(\mathbf{x}_{I},\mathbf{a}_{I},\mathbf{c}',\mathbf{z}'_{I})}=I$

and

$$c \neq c'$$
 and s-soundness of Σ_0 and Σ_1

Commitments from Sigma-Protocols

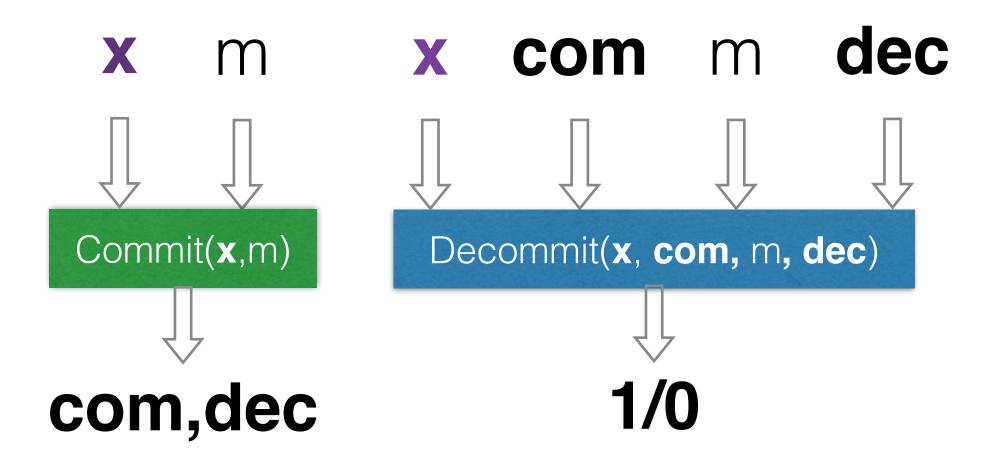
Commitment scheme



- Hiding
- Binding

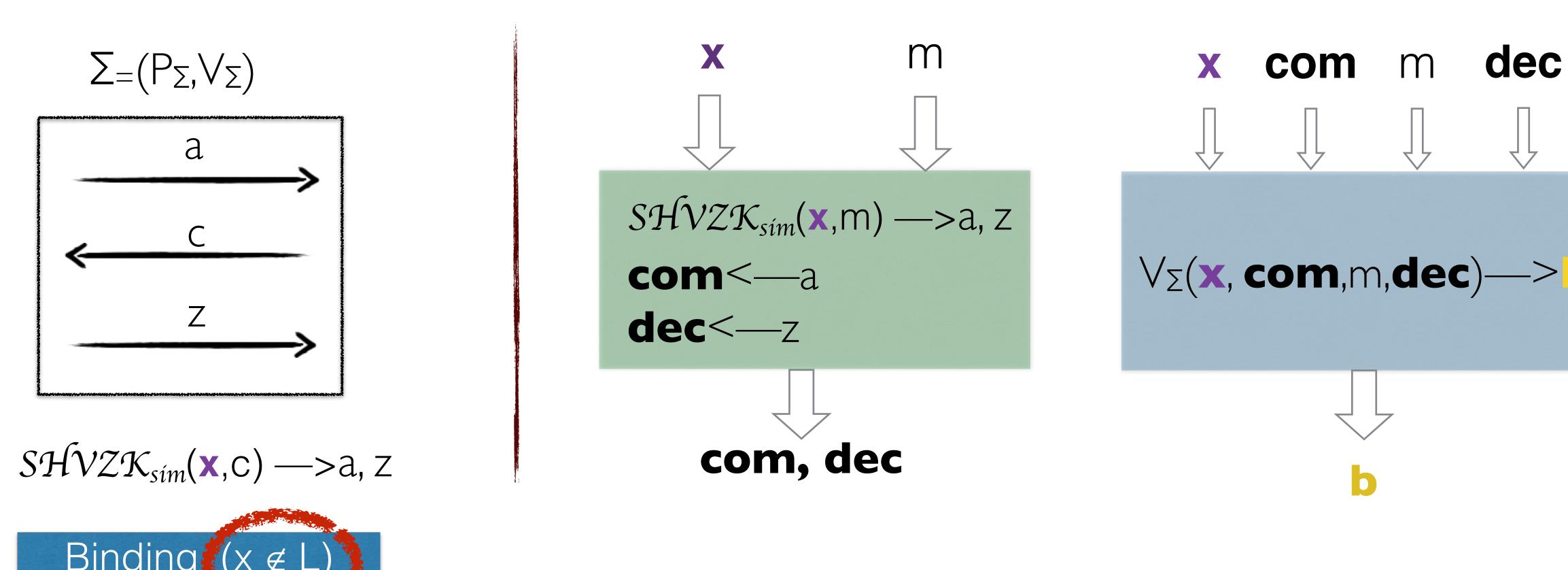
 # dec', m', with m≠m s.t.
 Decommit(com, m, dec)=1 and Decommit(com, m', dec')=1

Instance-dependent commitment scheme NP-Language L



- if $x \in L$ Hiding
- If x ∉ L Binding
 ∄ dec', m', with m≠m s.t.
 Decommit(x, com, m, dec)=1 and Decommit(x, com, m', dec')=1

Commitments from Sigma-Protocols





 $V_{\Sigma}(\mathbf{x}, \mathbf{com}, \mathbf{m}, \mathbf{dec}) \longrightarrow 1$

 $V_{\Sigma}(\mathbf{x}, \mathbf{com}, \mathbf{m}', \mathbf{dec'}) \longrightarrow 1$

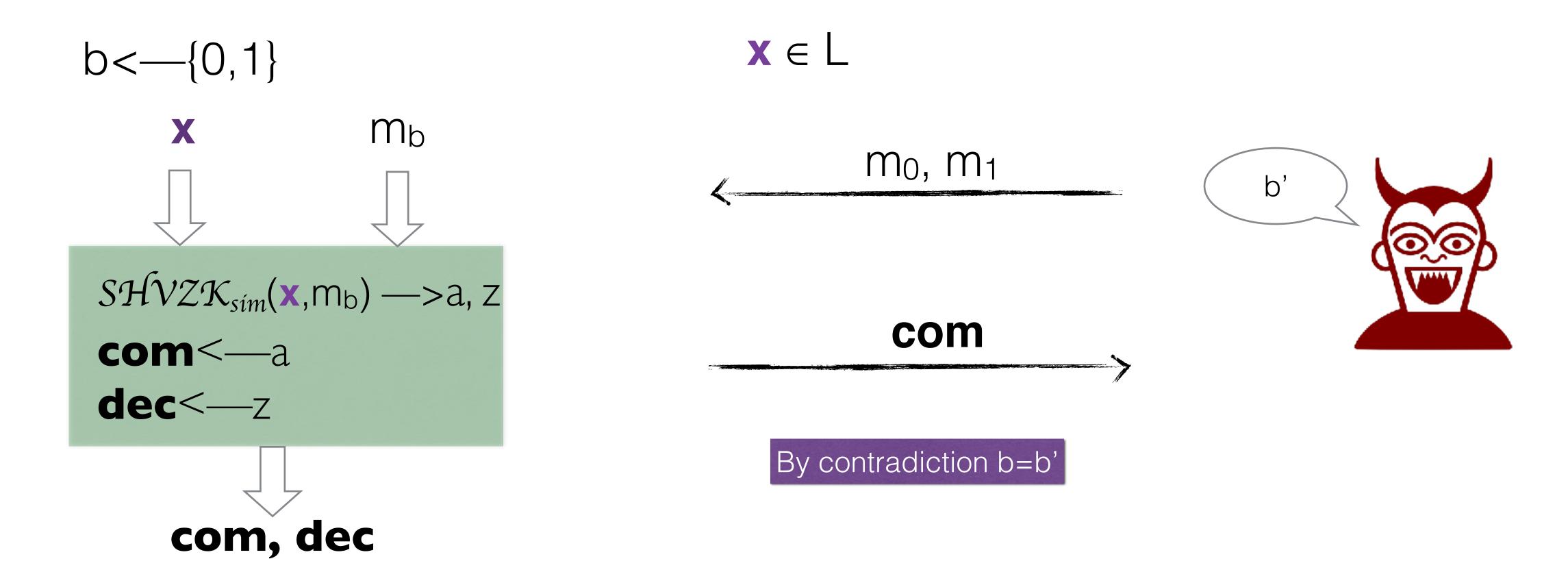
m'≠m

s-soundness of Σ

w: witness for x

dec

Commitments from Sigma-Protocols



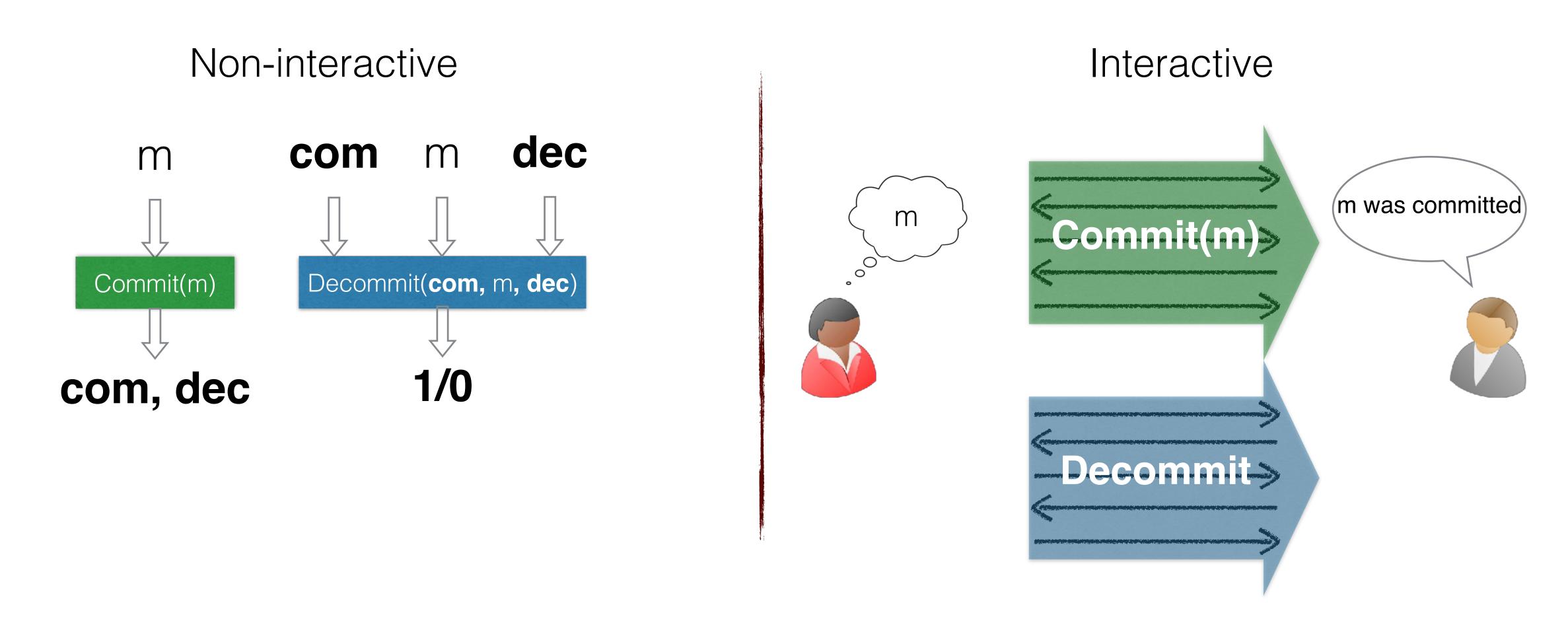
$$SHVZK_{sim}(\mathbf{x},m_0) \longrightarrow \begin{cases} a_0 & \equiv & a < --P_{\Sigma}(\mathbf{x},\mathbf{w}) & \equiv & a_1 \\ & < -SHVZK_{sim}(\mathbf{x},m_1) \end{cases}$$

$$z < --P_{\Sigma}(\mathbf{x},\mathbf{w},m_0) \qquad z_1$$

So far

- Sigma protocols for some fixed languages
- Practical efficiency
- Only HVZK
- Can we have a sigma protocol for all NP?
- How do we get security against malicious verifiers?

Commitments



- (computational statistical) Hiding
- (computational statistical) Binding

Statistically binding commitments

El-Gamal

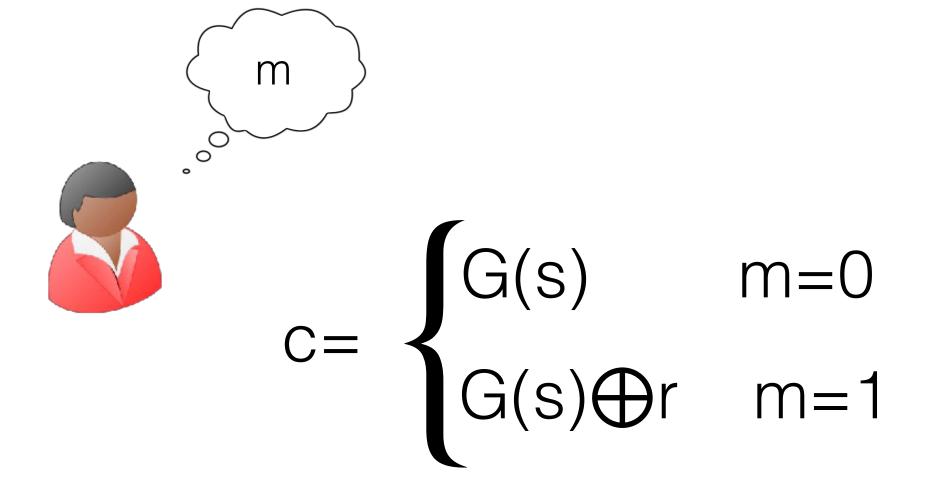


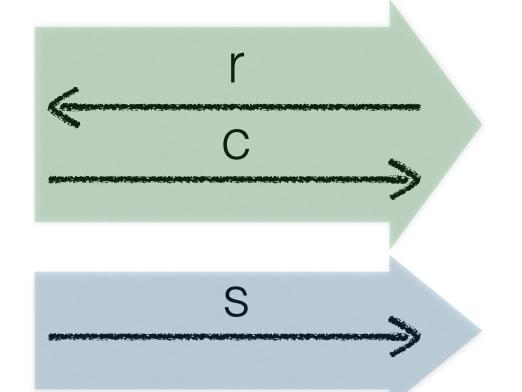
 $Com_{g,r}(m,r)=g^r,h^r\cdot g^m$



m,r

From PRGs (OWFs)

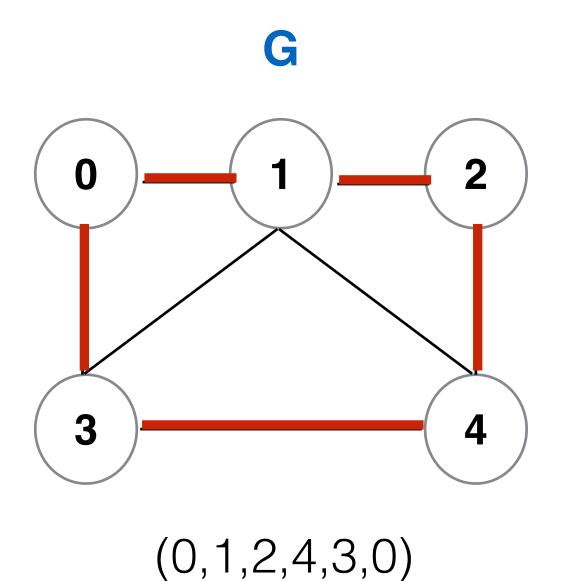






if G(s)=c then 0 if $G(s)\bigoplus r=c$ then 1

Hamiltonian graphs

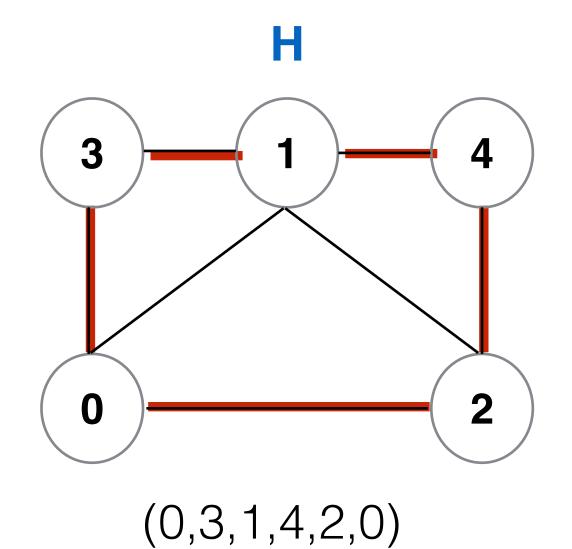


	0	1	2	3	4
0	1	1	0	1	0
1	1	1	1	1	1
2	0	1	1	0	1
3	1	1	0	1	1
4	0	0	1	1	1

NP-Complete

Every L ∈ NP is poly-time reducible to HAM

If we have a protocol with property \mathbf{p} for the language HAM then we have a protocol with the property \mathbf{p} for every language $L \in NP$

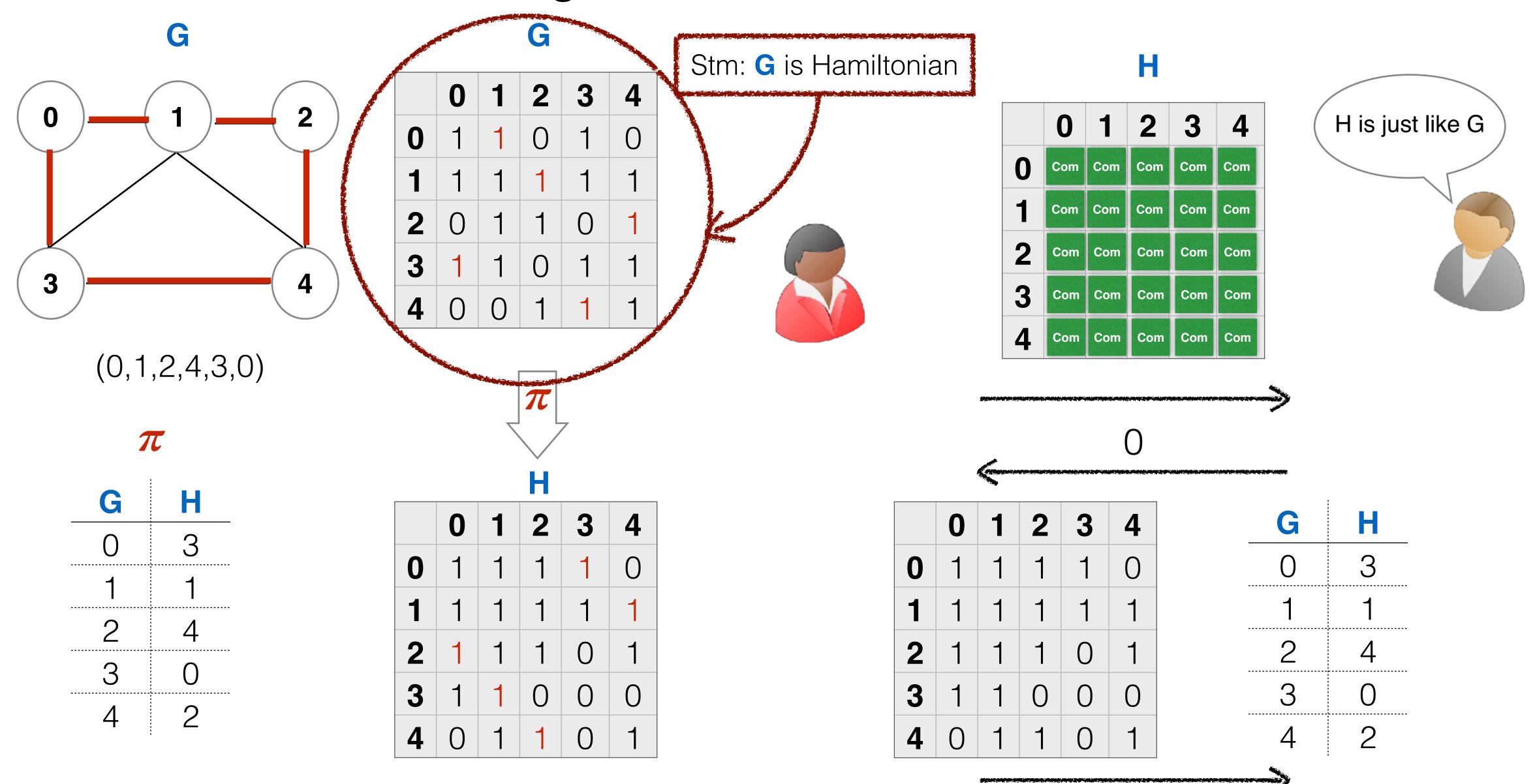


	0	1	2	3	4
0	1	1	1	1	0
1	1	1	1	1	1
2	1	1	1	0	1
3	1	1	0	0	0
4	0	1	1	0	1

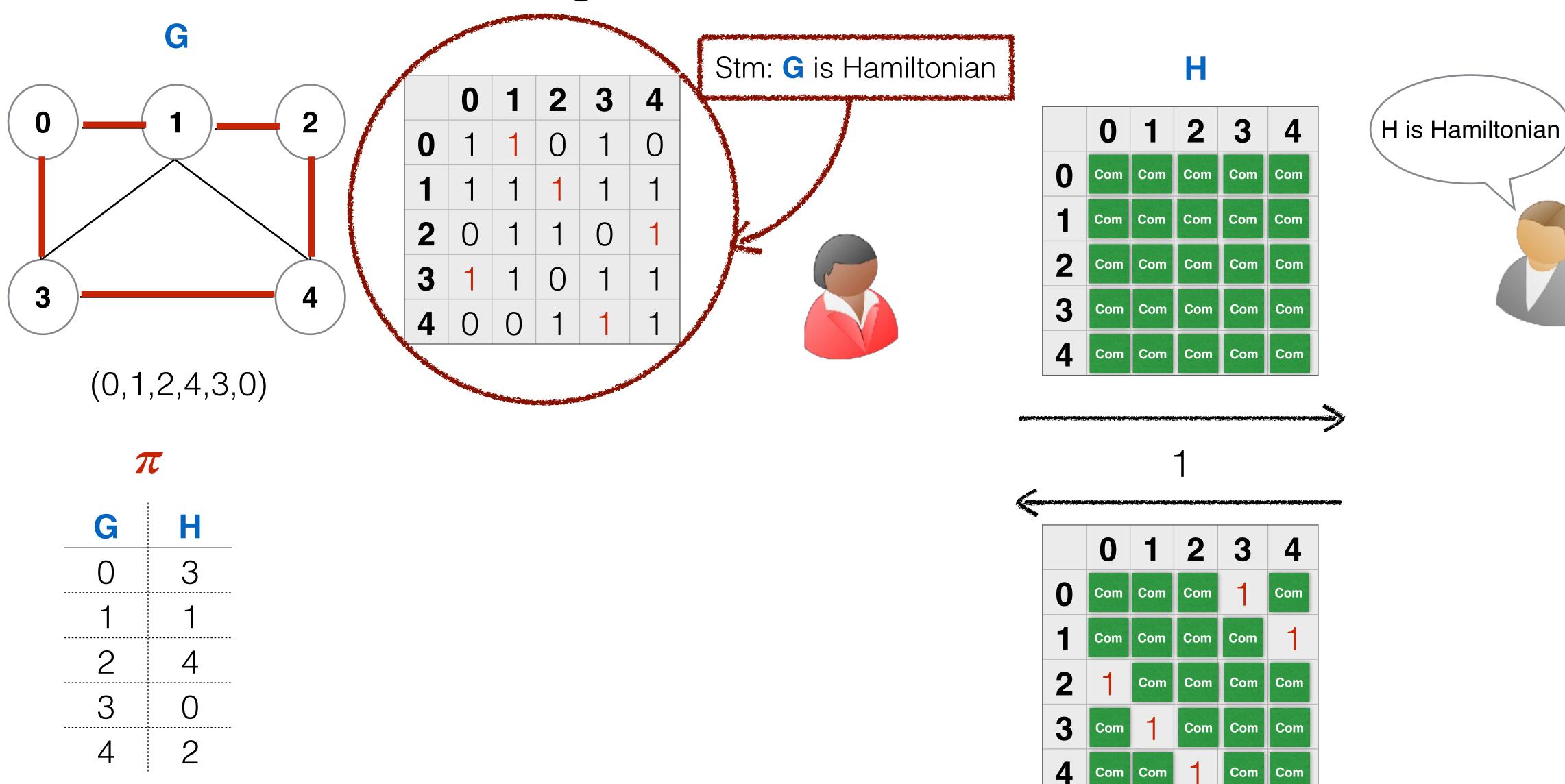
G	Н
0	3
1	1
2	4
3	0
4	2

 π

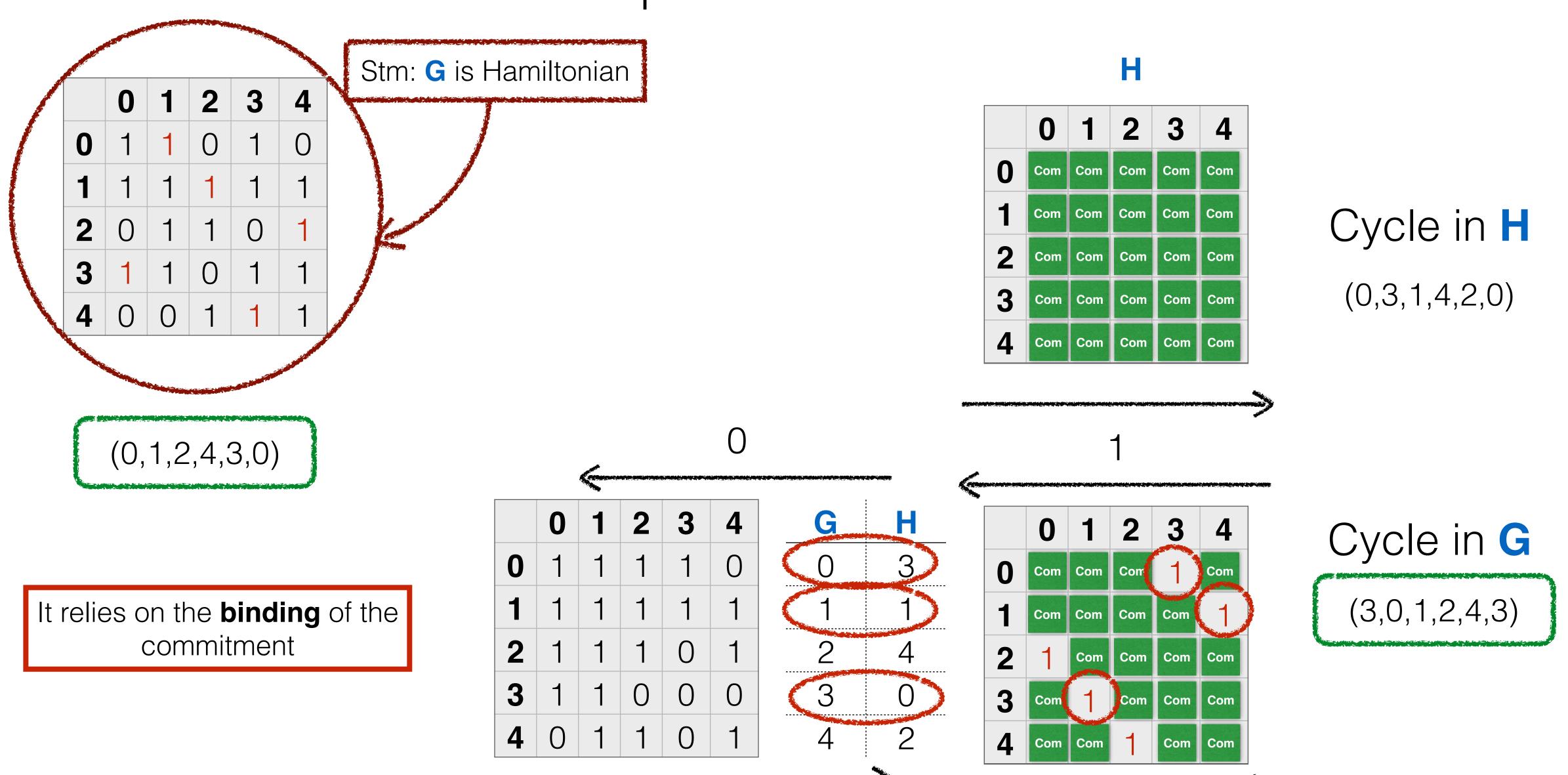
Sigma Protocol for HAM



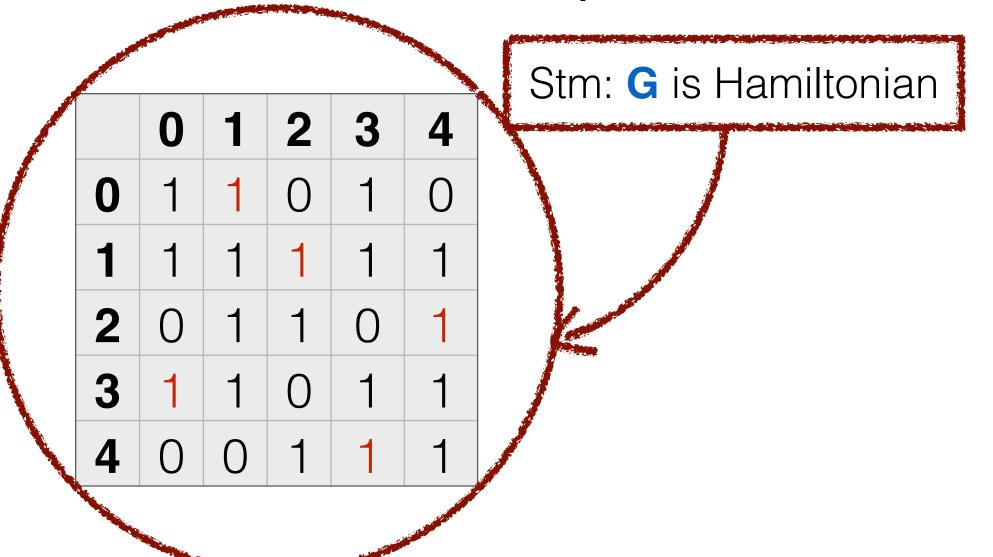
Sigma Protocol for HAM



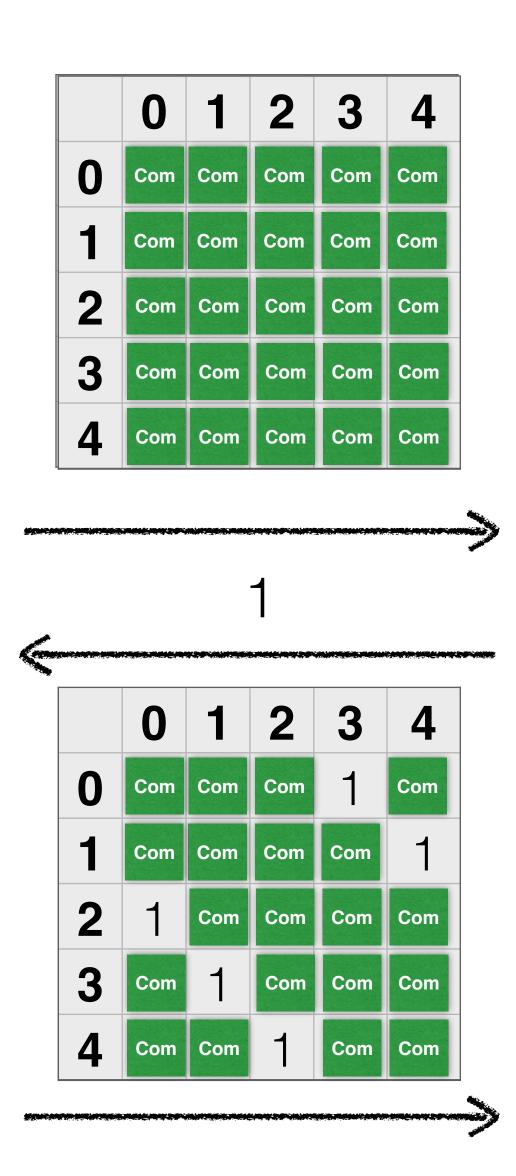
Special Soundness



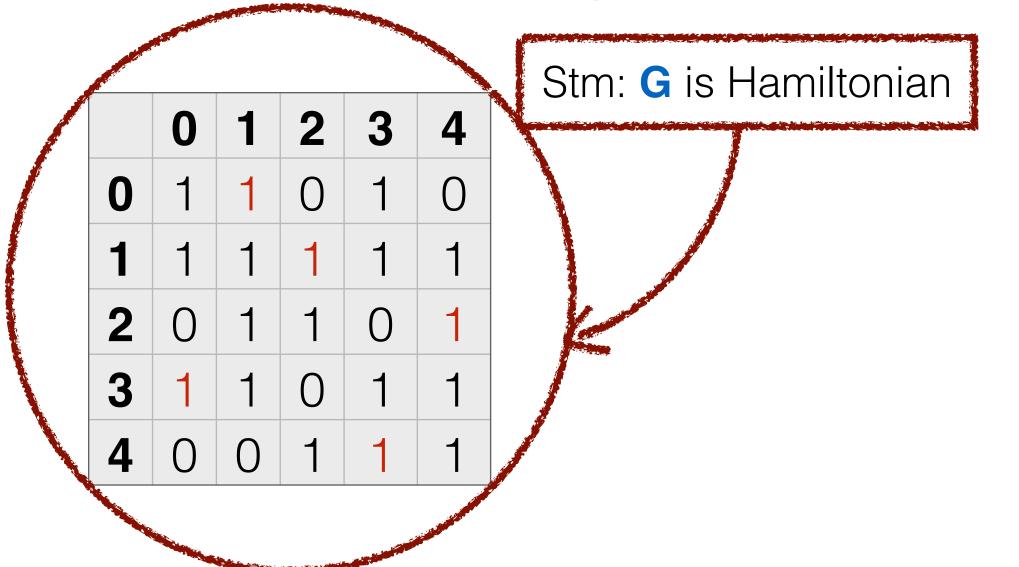
Special Honest Verifier Zero-Knowledge (b=1)



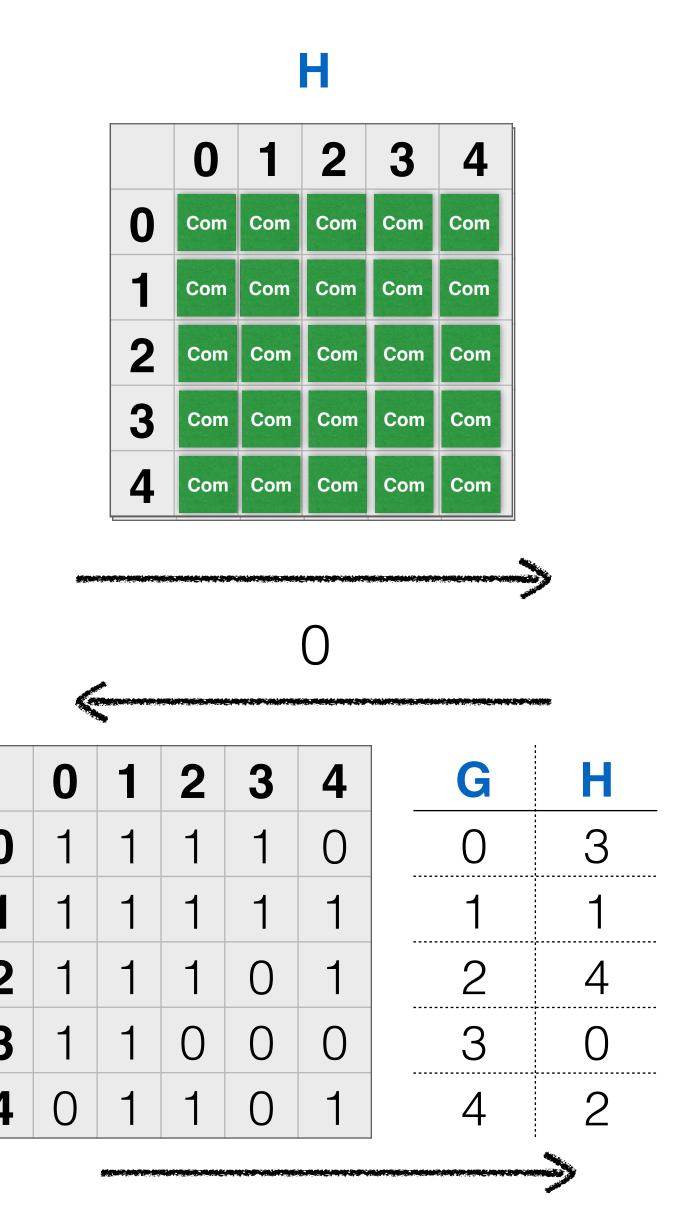
It relies on the **hiding** of the commitment



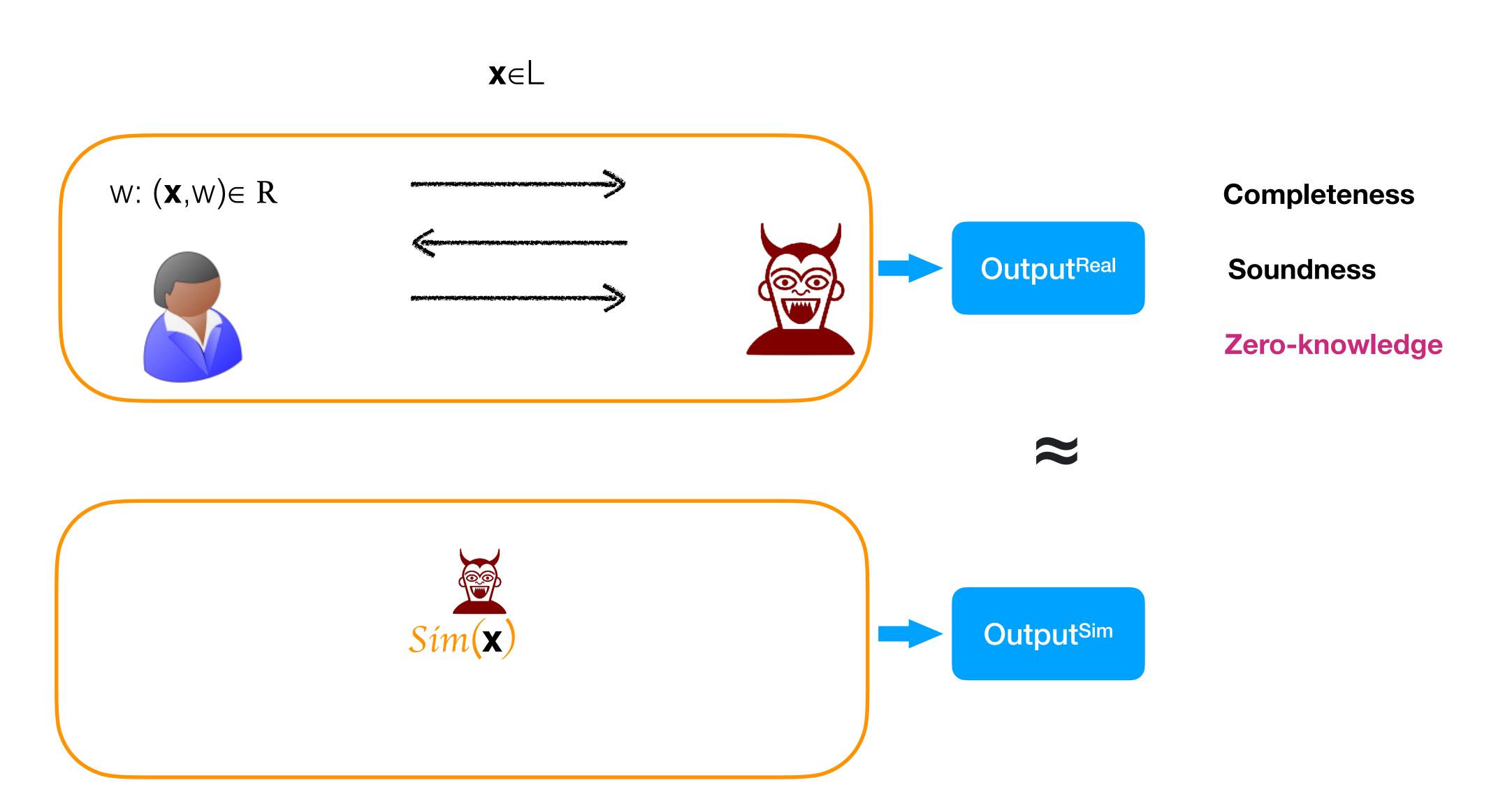
Special Honest Verifier Zero-Knowledge (b=0)



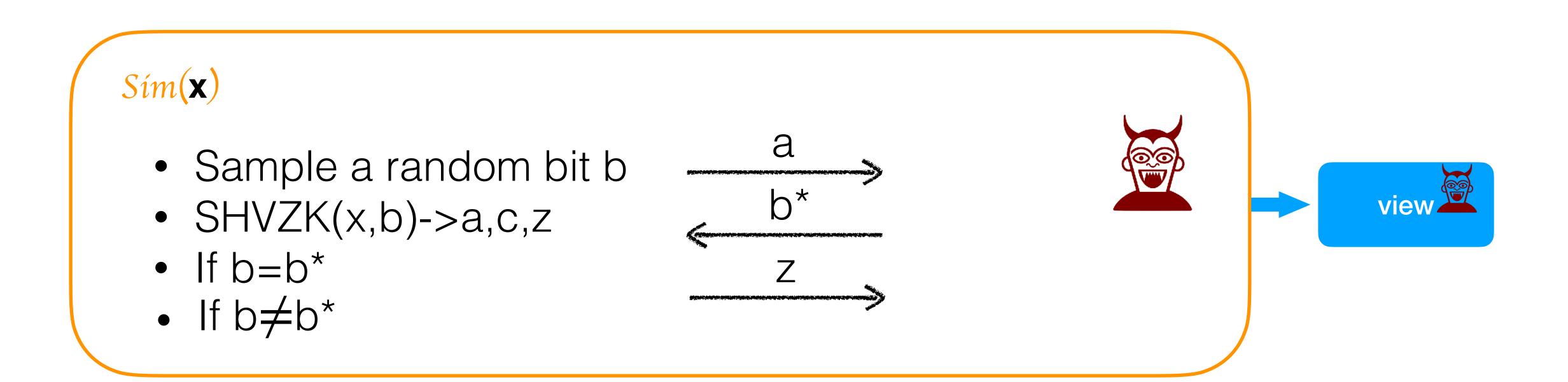
G	Н
0	3
1	1
2	4
3	0
4	2



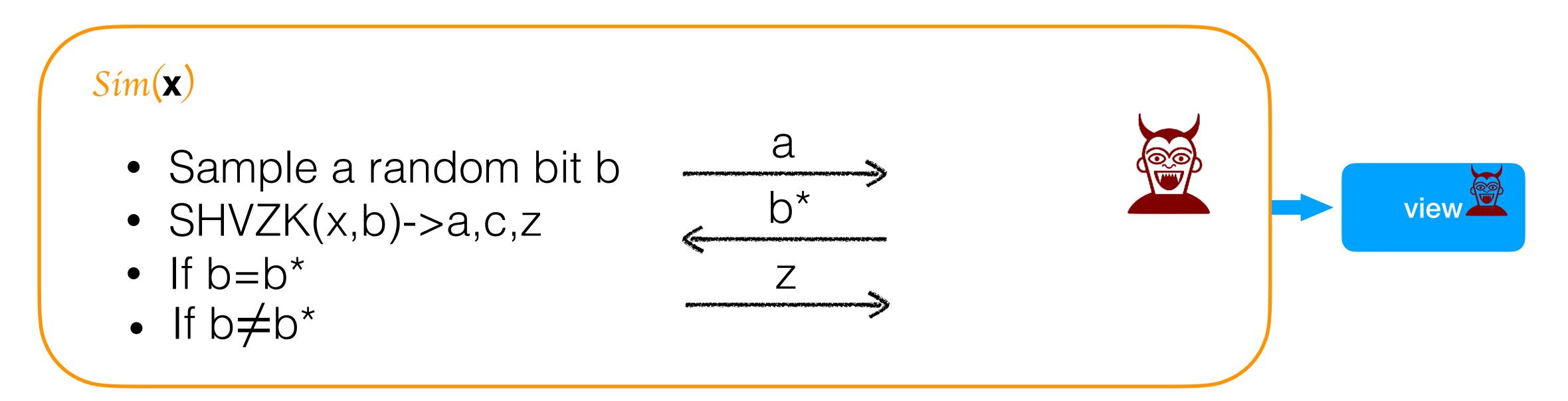
Zero-Knowledge against arbitrary verifiers



Zero-Knowledge against arbitrary verifiers



Zero-Knowledge against arbitrary verifiers



The simulator succeeds in 2 expected number of rewinds

If we use the Sigma protocol for HAM, we have a 3-round ZK protocol for all NP [Blum86]

- Computational ZK if the commitments are statistically binding (one additional round is needed if we
 want to rely on OWFs)
- Statistical ZK if the commitments are statistically hiding

Are we happy with this protocol?

A malicious prover can cheat with 1/2 probability

Our Goal

- Computational zero-knowledge
- Constant round (1 round maybe)
- Negligible soundness error
- Minimal assumptions

Reduce the soundness error of the sigma-protocol

W: $(\mathbf{x}, \mathbf{w}) \in \mathbb{R}$ b₁∈{0,1}

b₂∈{0,1}

b_k∈{0,1}

- Repeat the protocol in parallel k times in parallel
- A corrupted prover cannot guess the challenge in advance

How do we simulate?

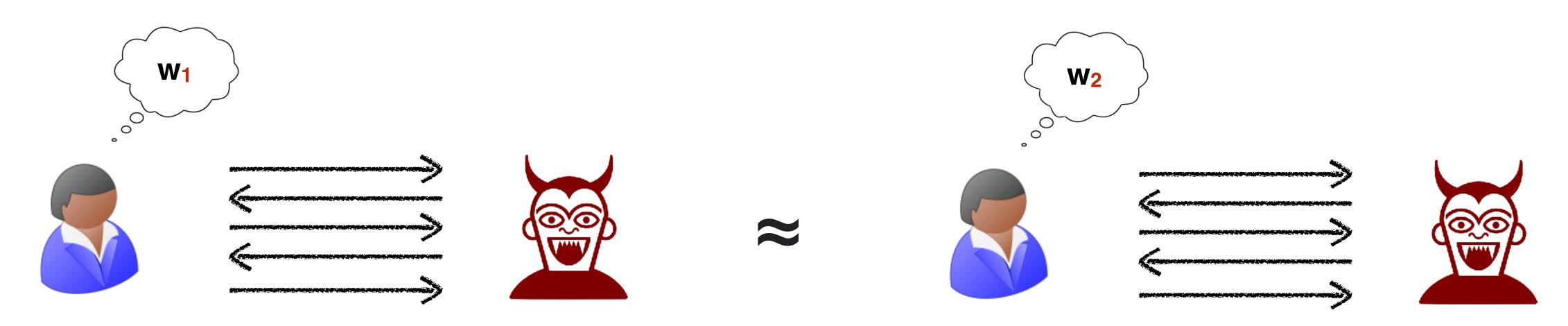
- In general, we cannot have a ZK 3-round protocol unless the polynomial hierarchy collapses*
- We can achieve a weaker notion of ZK, which we will use as a tool for our final, optimal round protocol

Witness Indistinguishability

Witness Indistinguishability

The interaction between the prover and the verifier does not reveal which of the NP witnesses for $x \in L$ was used in the proof

For every w_1, w_2 such that $(x, w_1) \in Rel$ and $(x, w_2) \in Rel$



- L ∈ NP can have many different relations. The relation specifies what I am hiding
- Trivial if there is only one witness
- In the security game, the witnesses are public
- Every ZK proof/argument is also WI
- WI is closed under parallel/concurrent composition

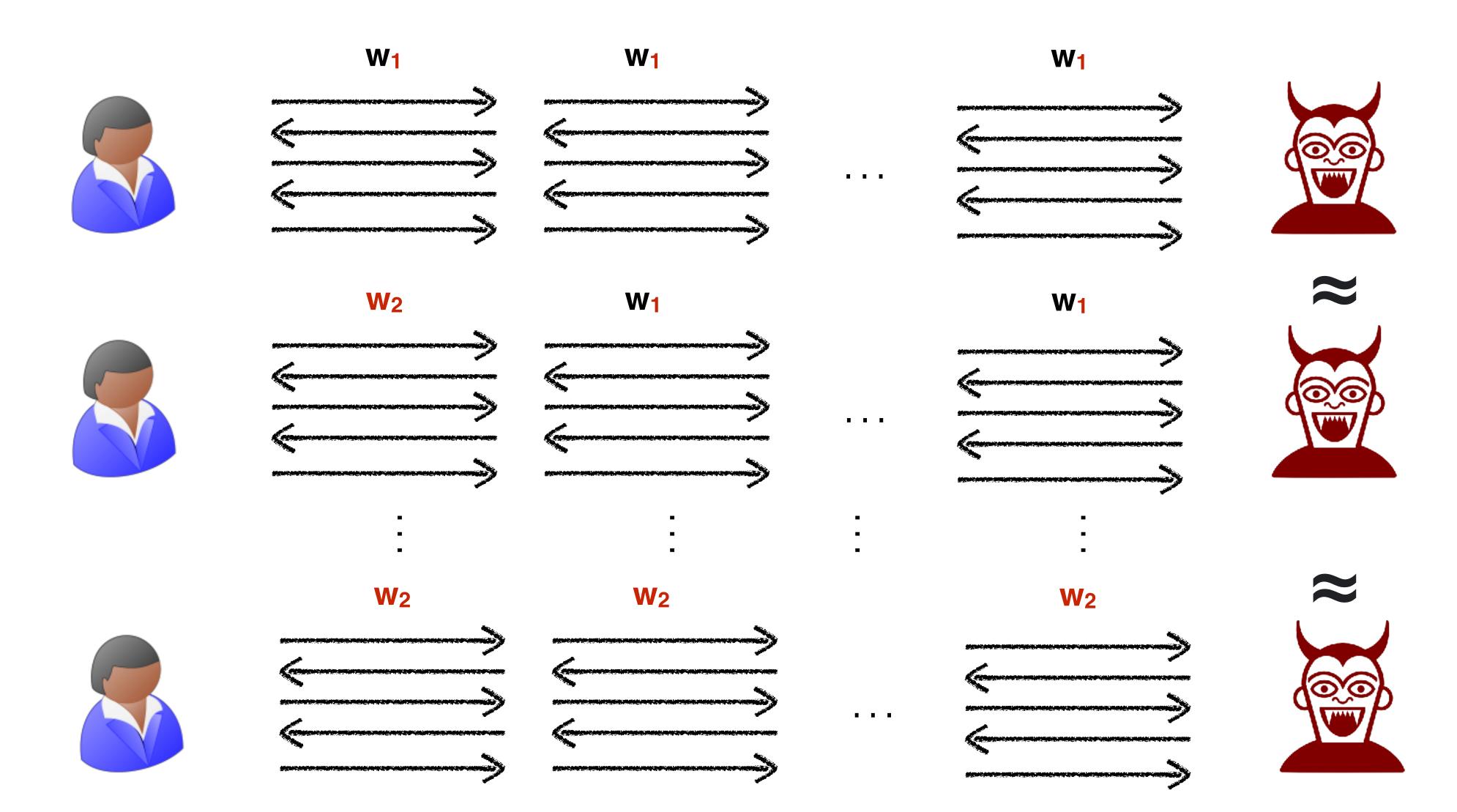
Every ZK proof/argument is also WI

For every w_1, w_2 such that $(\mathbf{x}, w_1) \in \text{Rel and } (\mathbf{x}, w_2) \in \text{Rel}$



WI is closed under parallel composition

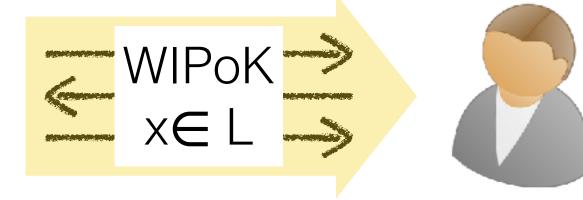
For every w₁,w₂ such that (**x**,w₁)∈Rel and (**x**,w₂)∈Rel



Observations and Corollaries

Every zero-knowledge protocol is WI A sigma-protocol with 1-bit challenge is zero-knowledge HAM is a sigma-protocol with 1-bit challenge based on the existence of statistically binding non-interactive commitment scheme Amplify the soundness of the WI via parallel repetition Sigma-Protocols are PoK



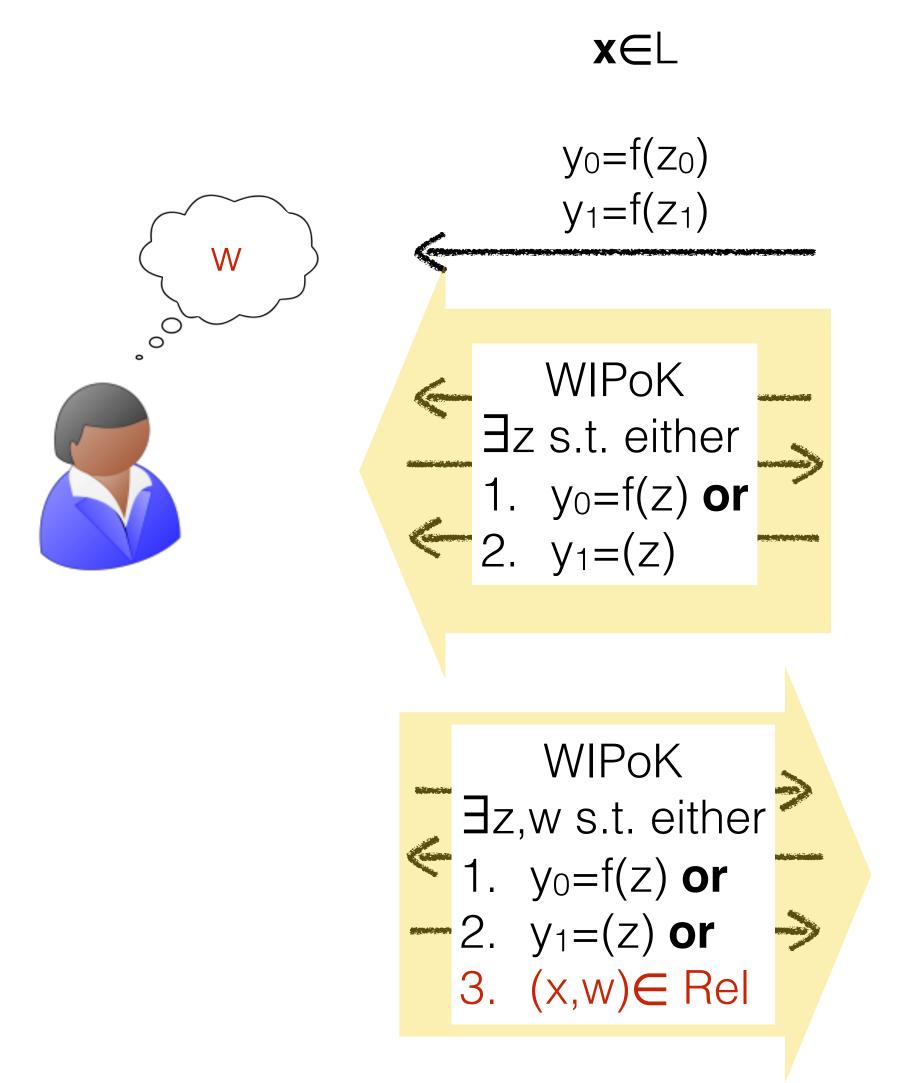






Theorem

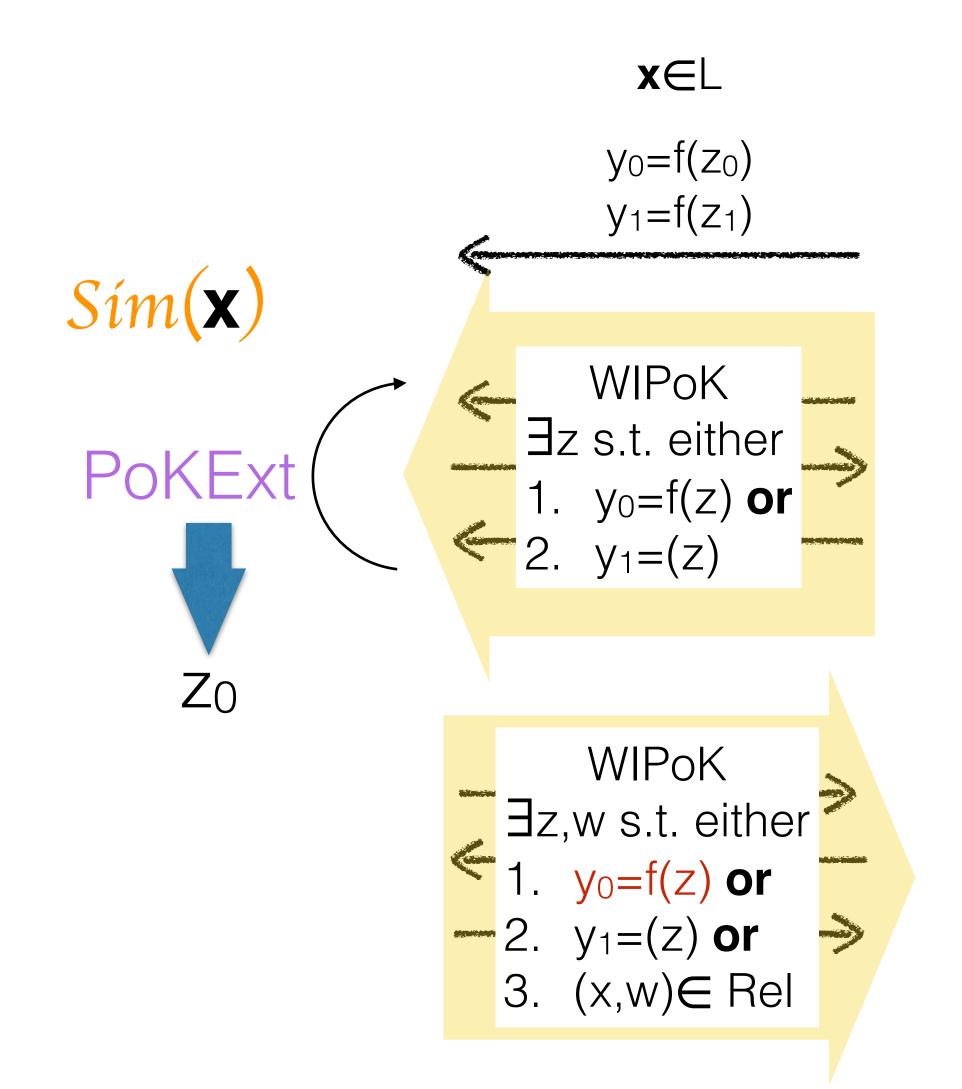
Assuming non-interactive statistically binding commitments every LENP has a 3-round witness-indistinguishable proof-of-knowledge (WIPoK) with negligible soundness error



f is a one-way function $z_0, z_1 < --\{0,1\}^k$



Zero-Knowledge



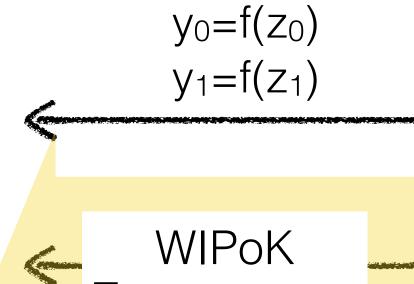


the PoK property guarantees that the extraction is successful in *expected polynomial time*

WI guarantees that the adversary does not distinguishes between the real proof and the simulated proof

Soundness





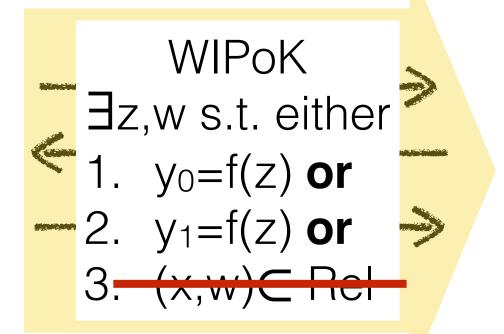
f is a one-way function

$$z_0, z_1 < - \{0, 1\}^k$$

 $\exists z \text{ s.t. either}$ 1. $y_0=f(z)$ **or**

 $y_1 = f(z)$

Do the WIPoK using z₀



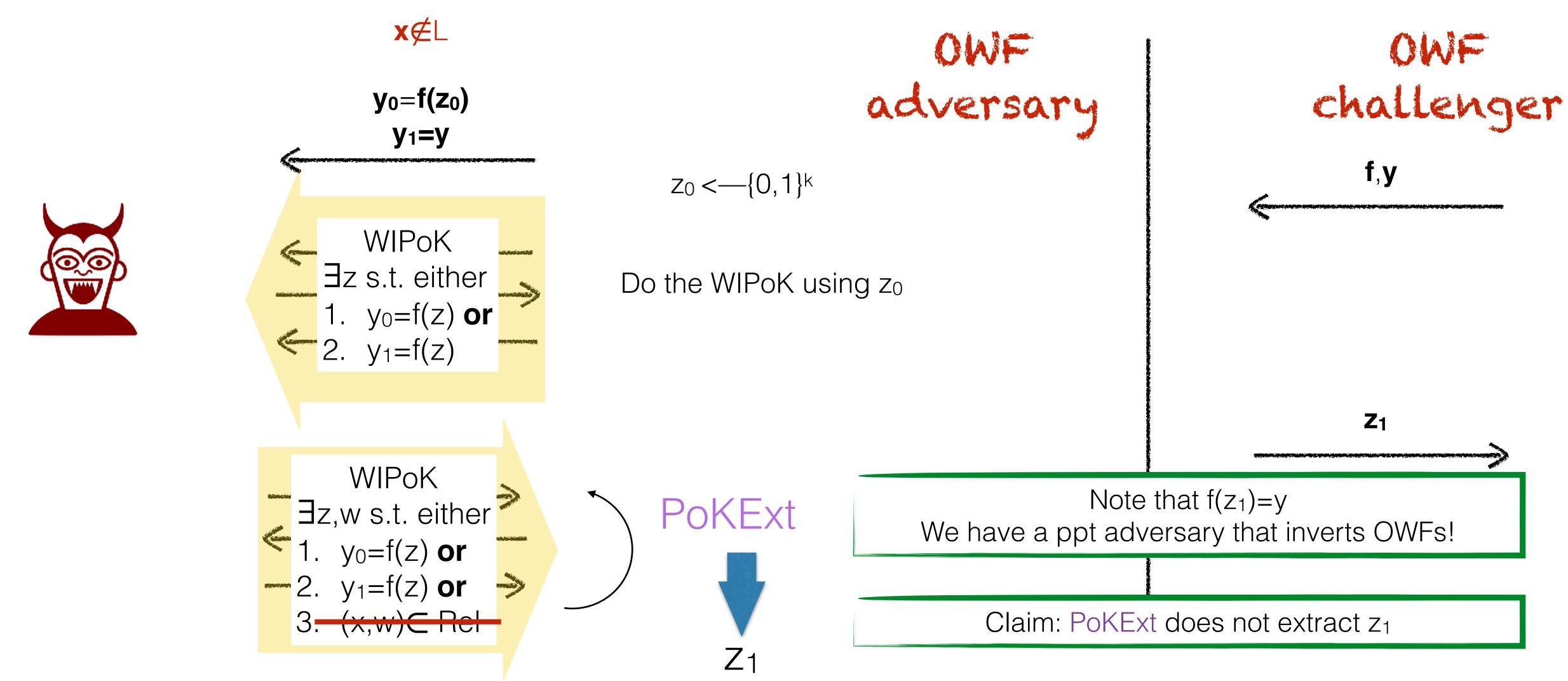
PoKExt



Could we extract z₁?

Assume this happens, then we have an efficient algorithm to compute the pre-image of y₁





Soundness

x∉L

 $y_0 = f(z_0)$

 $y_1=f(z_1)$

WIPoK ∃z s.t. either

 $y_0=f(z)$ or

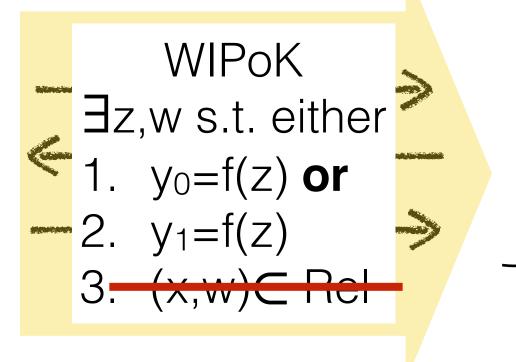
 $y_1 = f(z)$

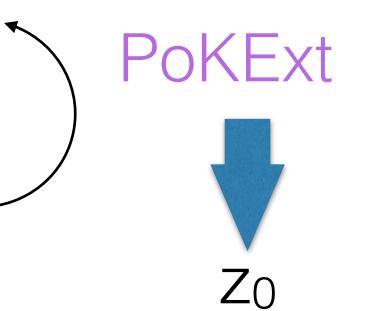
f is a one-way function

 $z_0, z_1 < ---\{0, 1\}^k$

Do the WIPoK using z₁







Can the extracted value be z₀?

No, for the same arguments as before

Claim: If we use z_b to complete the first WIPoK

then PoKExt does not extract z_{1-b}

Soundness

x∉L

 $y_0 = f(z_0)$ $y_1 = f(z_1)$

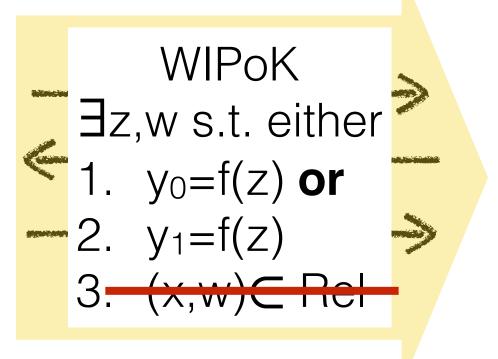
WIPoK 3z s.t. either

1. $y_0=f(z)$ or 2. $y_1=f(z)$

f is a one-way function $z_0, z_1 < --\{0,1\}^k$

Do the WIPoK using z_b





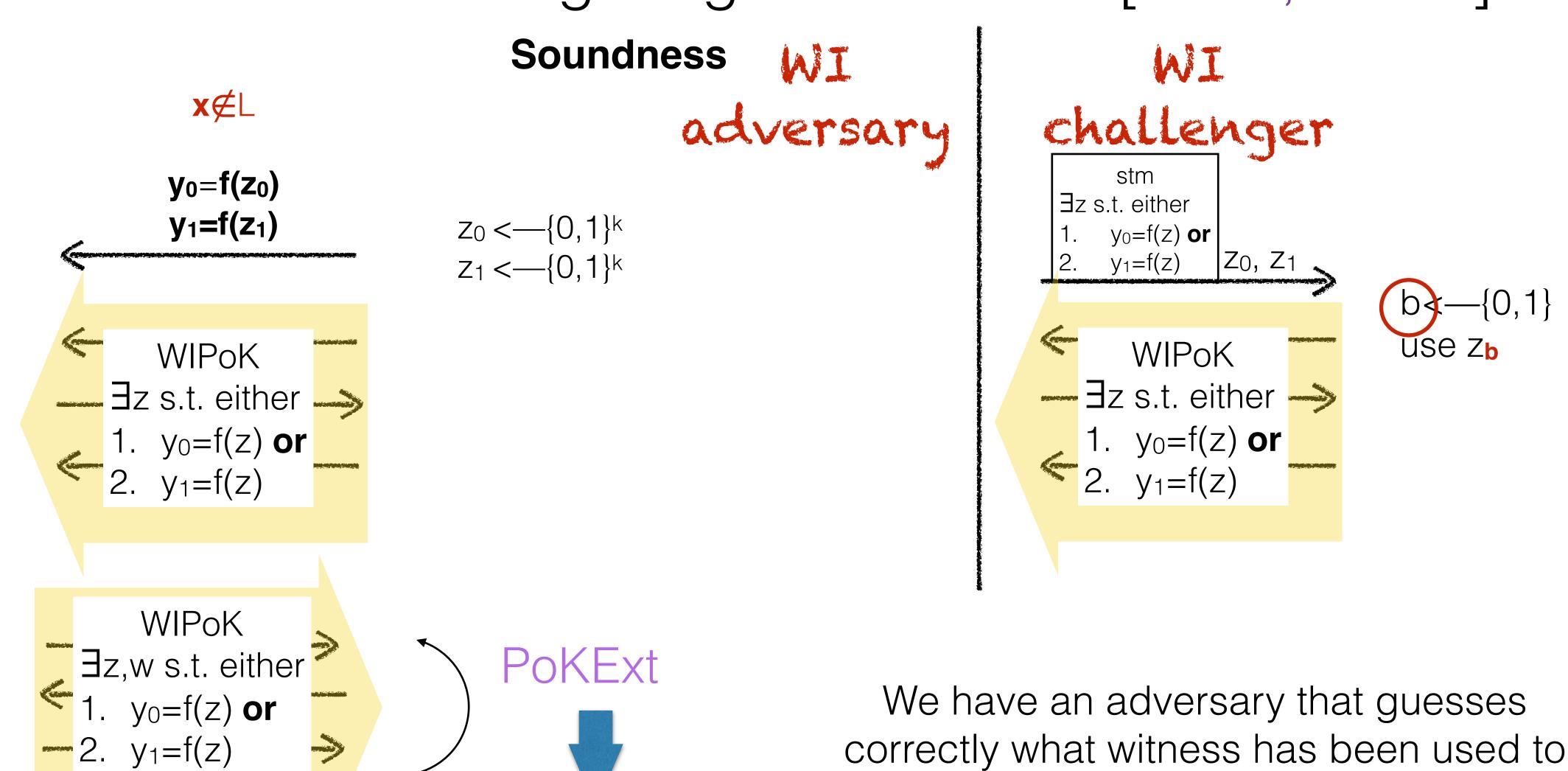




If this happens, we have a reduction to the WI property of the first WIPoK

Claim: If we use z_b to complete the first WIPoK

then PoKExt does not extract z_{1-b}



compute the first WIPoK

Soundness

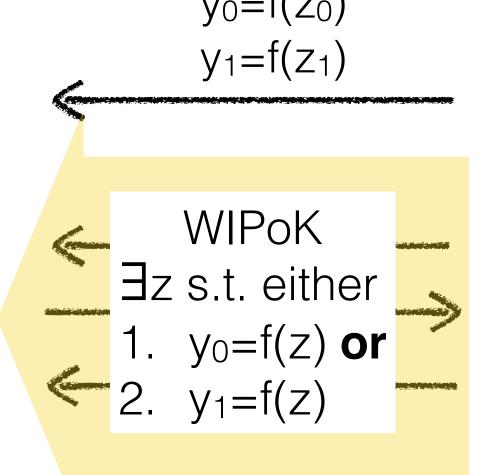


 $y_0 = f(z_0)$

f is a one-way function

Claim: If we use z_b to complete the first WIPoK then PoKExt does not extract z_{1-b}

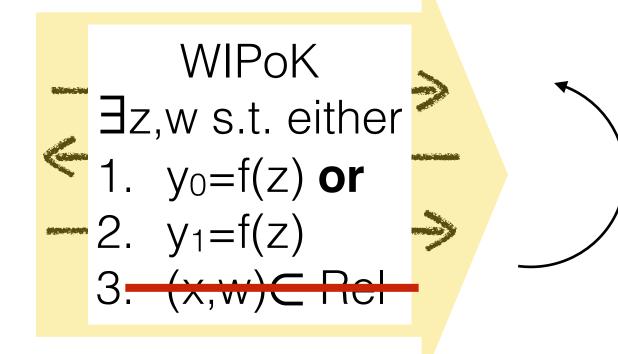




Do the WIPoK using z_b

Claim: If we use z_b to complete the first WIPoK then PoKExt does not extract z_b

Hence it must be that we extract the witness for $x \longrightarrow x \in L$

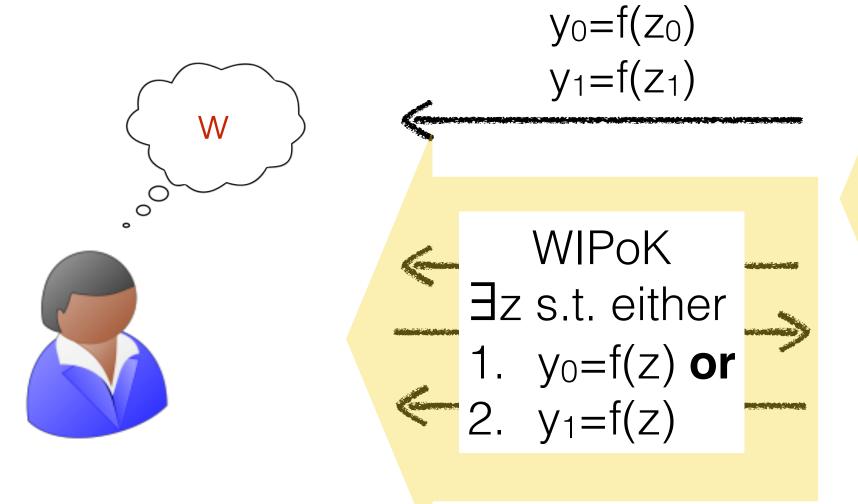


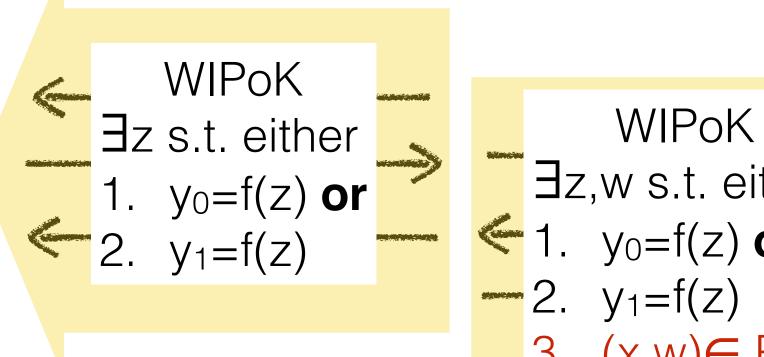


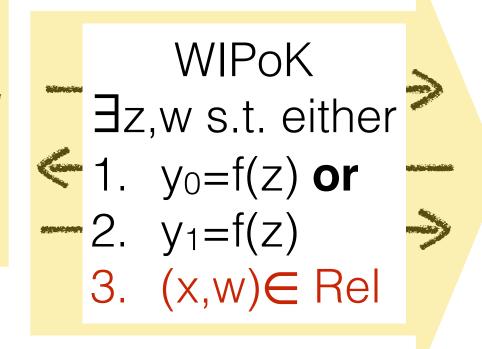
Let's squeeze it into four rounds

Soundness









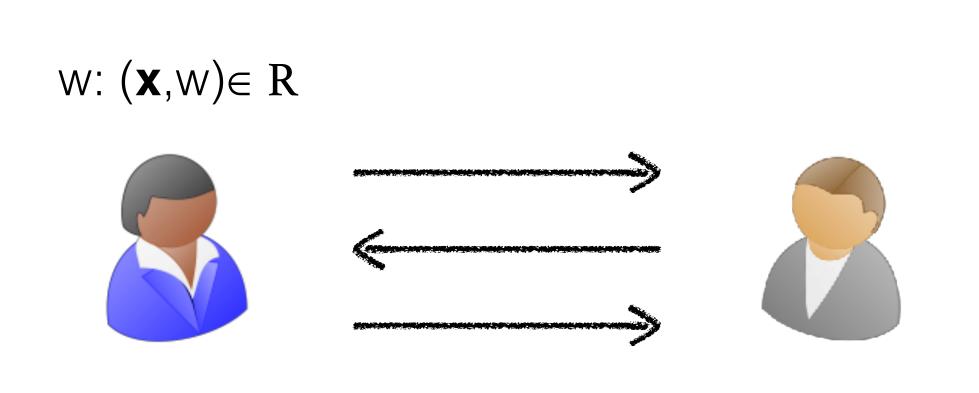


- WIPoK ∃z,w s.t. either $-1. y_0 = f(z)$ or $-2. y_1=f(z)$ 3. (x,w)**∈** Rel
- The simulator when computing these messages does not know any of the pre-images
- We need the WIPoK to also be delayed input
- Such a three-round protocol exists for Hamiltonicity [LS90] and it is similar to the Blum's protocol we have seen.
- The delayed-input property is enjoyed by some efficient sigma-protocols as well*

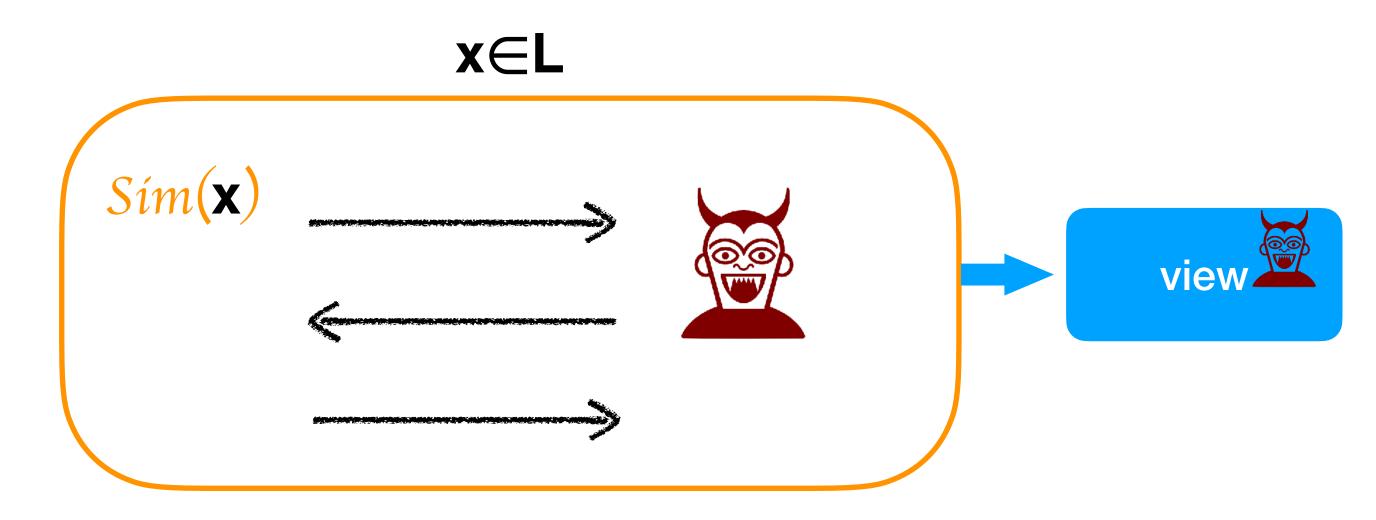
So far

- ZK implies WI
- WI composes (concurrently)
- The four-round computational zero-knowledge argument of knowledge for Hamiltonian graphs
- NP ⊆ CZK will be in four rounds, assuming statistically binding commitments.
- NP ⊆ SZK in four rounds assuming statistically hiding commitments
- Can we do better than 4 rounds?

Impossibility for languages outside BPP



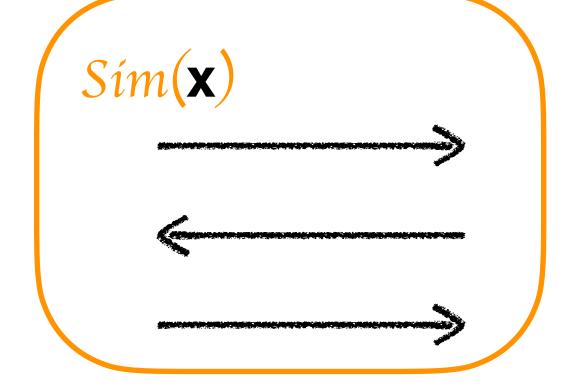
Zero-Knowledge and negligible soundness error

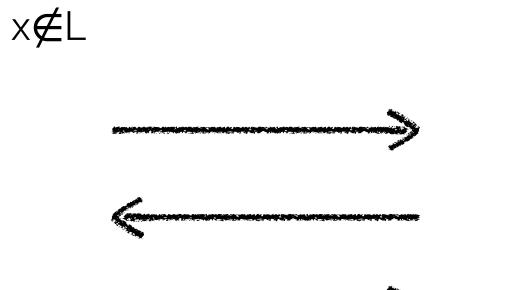


What happens if I run the simulator with x∉L

If we assume that it is difficult to decide whether $x \notin L$ or $x \in L$ then the simulator must work in the same way







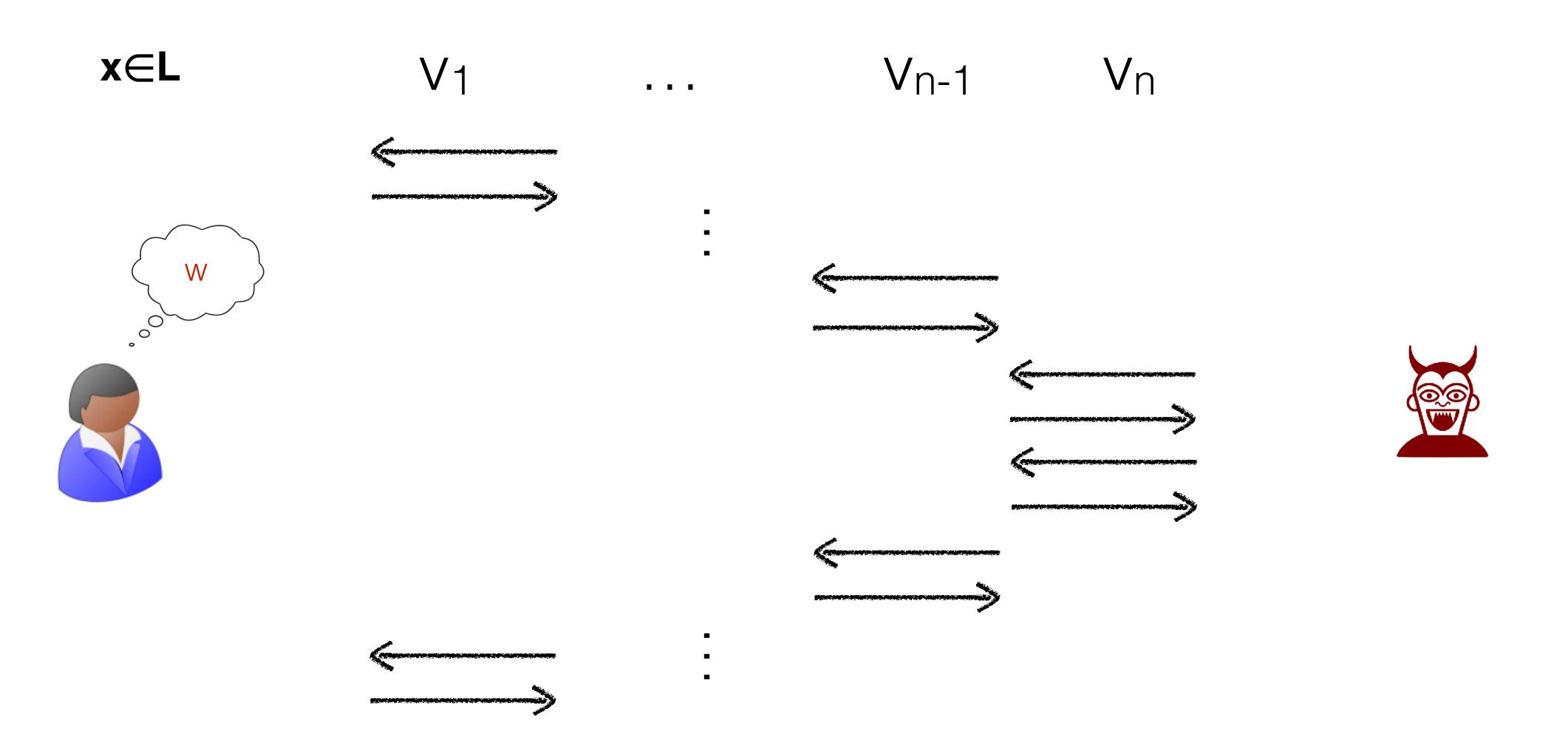


For non-trivial languages and with BB simulation 4-round is the best we can do

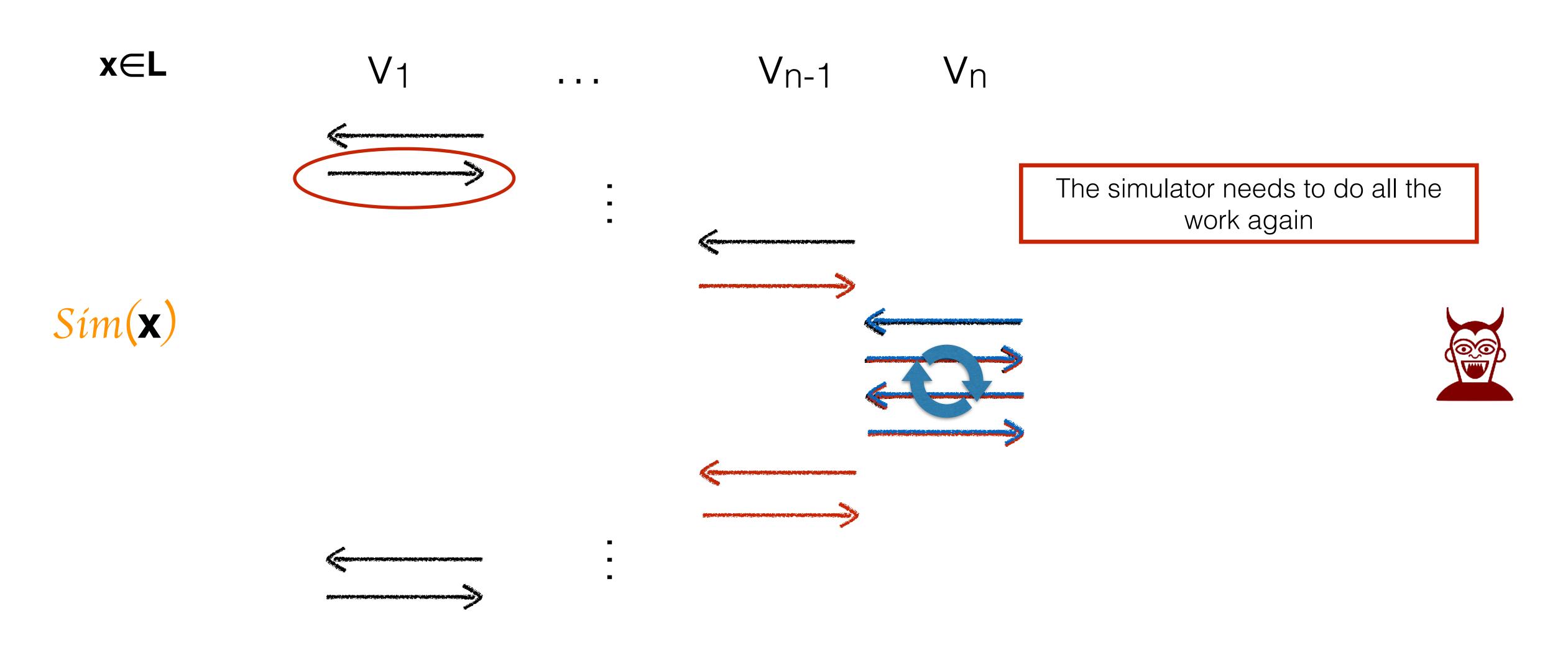
About compositoin

- The standalone setting for zero-knowledge.
- We made one attempt at parallel composition and it failed
- Can we design a constant round protocol that can be run in concurrency?
 - The schedule of the messages is arbitrary (maliciously chosen) [DNS98]

Concurrent composition



Concurrent composition



How many steps does the simulation of concurrent executions take?

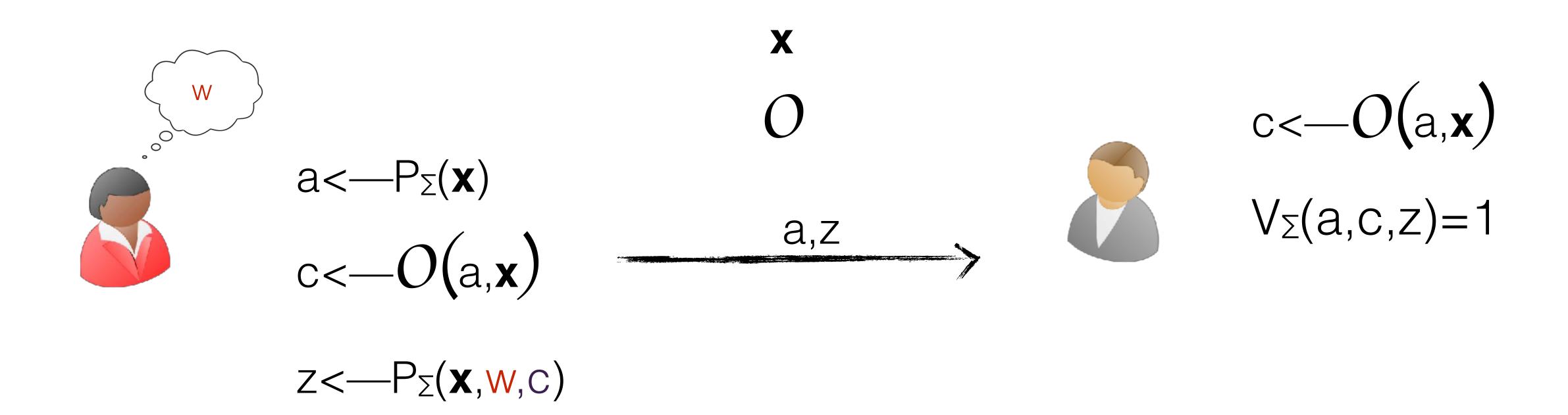
About compositioin

- [DNS98] Concurrent composition of constant round protocols becomes possible in the timing model
- [D00] If we assume trusted setup, then every language in NP has a constant round zero-knowledge protocol
- [KPR98,CKPR01] Only languages in BPP have BB concurrent ZK with o(log n/log log n) rounds
- [KP01,PRS02] Every language in NP has a concurrent ZK protocol with $\omega(\log n)$ rounds.
- If the number of sessions is known apriori then constant round protocols are possible

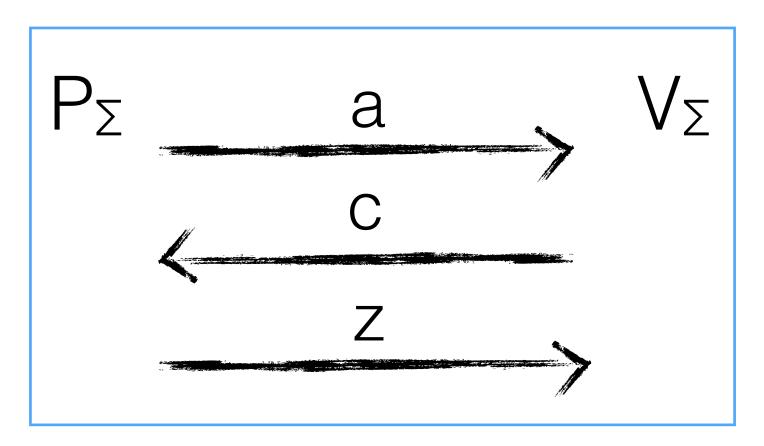
Summary

- Sigma-Protocol
- Every language in NP has a sigma-protocol
- Boost security from HVZK to zero-knowledge
- The best possible round complexity is 4 round
- Can we circumvent the 3-round impossibility and design an efficient non-interactive argument?

How do we make non-interactive proofs?



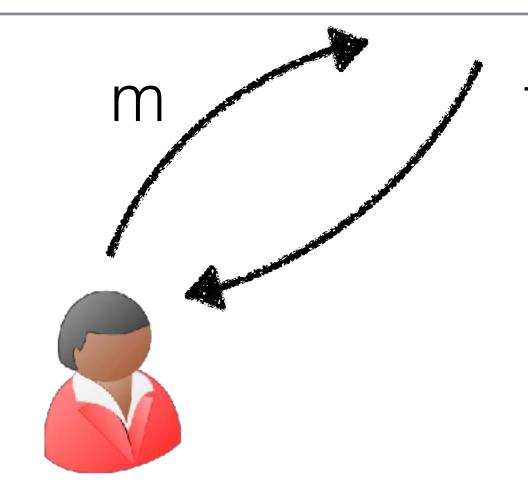
- Fiat-Shamir transform
- in practice O is a hash function (e.g.SHA2)
- Adds very little overhead to the starting sigma-protocol
- Used in practice for identification scheme, signatures, SNARKS, ...



The Random Oracle Model [BR93]

0

- Given a query m, s.t. (m, t)∈History for some t, then return t.
- Given a query m .s.t (m, ·)∉History then pick a random t<—{0,1}ⁿ, add (m,t) to History and return t

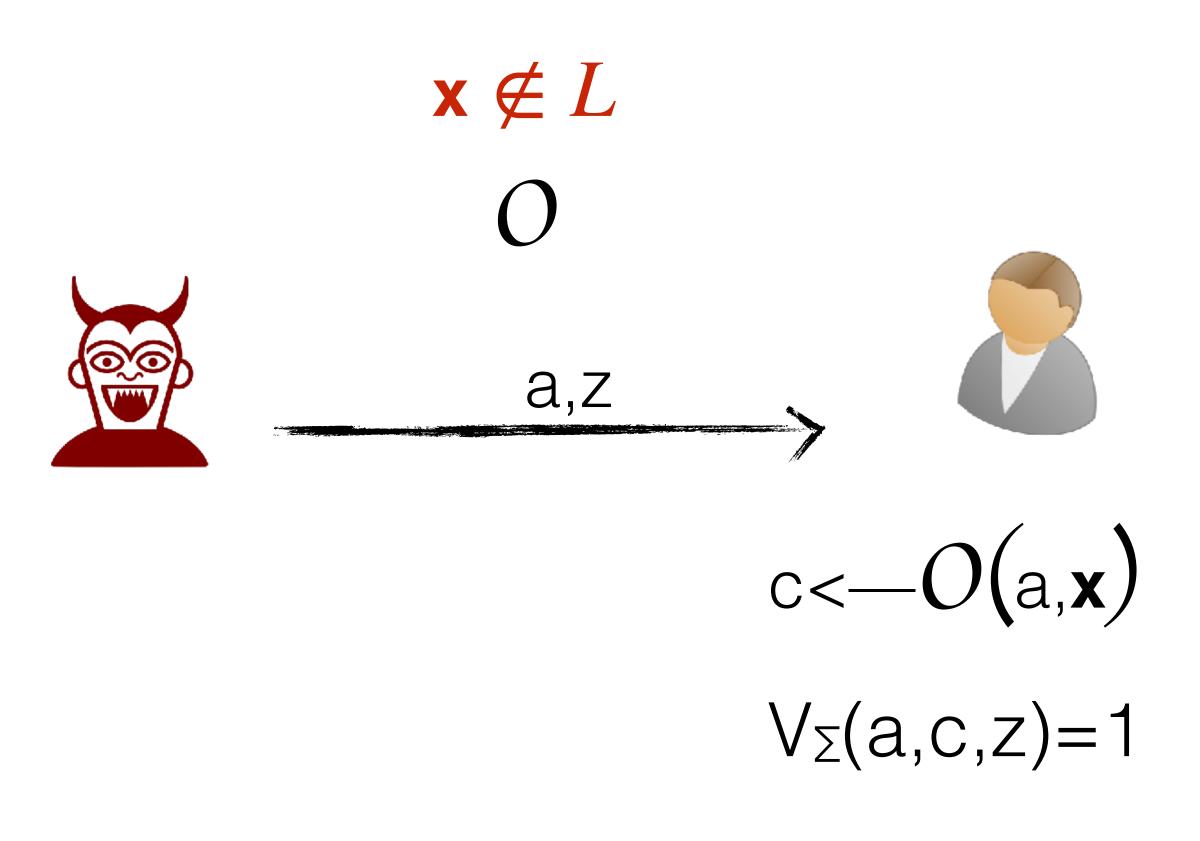


It is an ideal functionality and nobody has its description

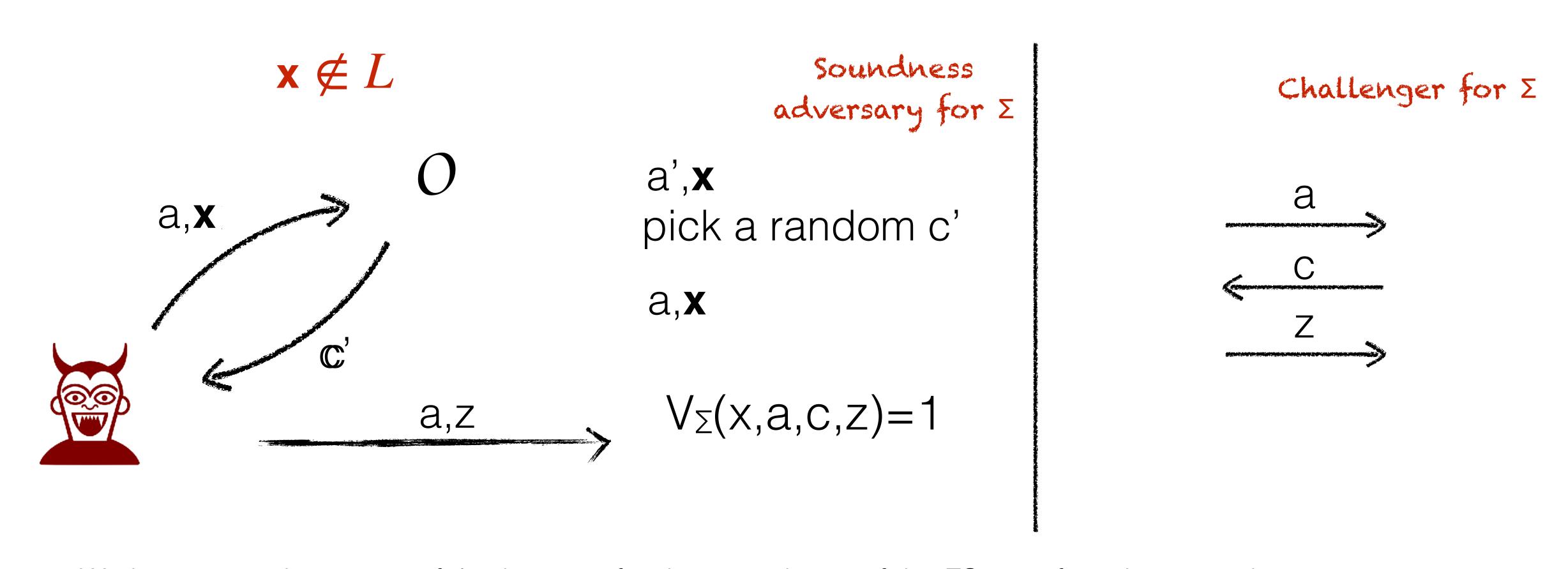
Can only be treated like a black-box

- Security holds with high probability over the choice of O
- The reduction can control the RO

Soundness of Fiat-Shamir



Soundness of Fiat-Shamir



We have turned a successful adversary for the soundness of the FS-transform into an adversary that breaks the soundness of the sigma-protocol

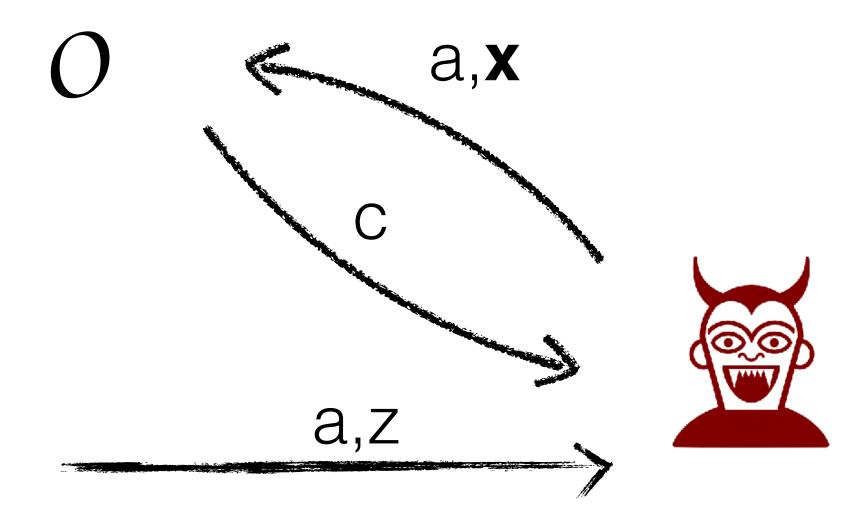
Formally proving this requires a more involved analysis based on the forking lemma

Zero-Knowledge of Fiat-Shamir

x∈L

Sim(x)

- $C < --\{0,1\}^n$
- $SHVZK(\mathbf{x},c)->a,c,z$



- Various ways to define zero-knowledge
- A programmable hash function suffices (like a CRS)
- Is this still zero knowledge?

A bit more discussion on the RO model

- Hash functions are far from being random functions (PRFs?)
- [CGH98] Exist protocols secure in the RO model but broken when replacing the RO with *any* hash function

Optimistic view

- Counterexamples have very specific characteristics
- Better to have proof than no proof at all
- Good heuristic
- Recent results show that the FS transform if the RO is replaced with a special type of hash function and a special type of sigmaprotocols is used*[HMR08,CCH+19]

Pessimistic view

 Basing security on assumptions we do not understand is undesirable

Summary and Conclusions

- It works with constant round public coin protocols with negligible soundness error (tight)
- It prevents malleability attacks (a stronger form of zero-knowledge, but assuming a quite strong setup).
- Setup is needed if we want to circumvent the 4-round impossibility
 - Weaker notions still exist that do not require setup (witness hiding, weak zero-knowledge, ...)
- Setup is needed for full composition
- The plain model provides a pure form of zero-knowledge
- Pick your tool, depending on your application: you do not always need the strongest possible protection

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Thank you