

ZK Proofs From VOLE and VOLE-in-the-Head

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Foundations of Zero Knowledge Proofs, Edinburgh

3 September 2024

Overview of the next sessions

Vector oblivious linear evaluation (VOLE)



VOLE-based ZK (designated verifier)

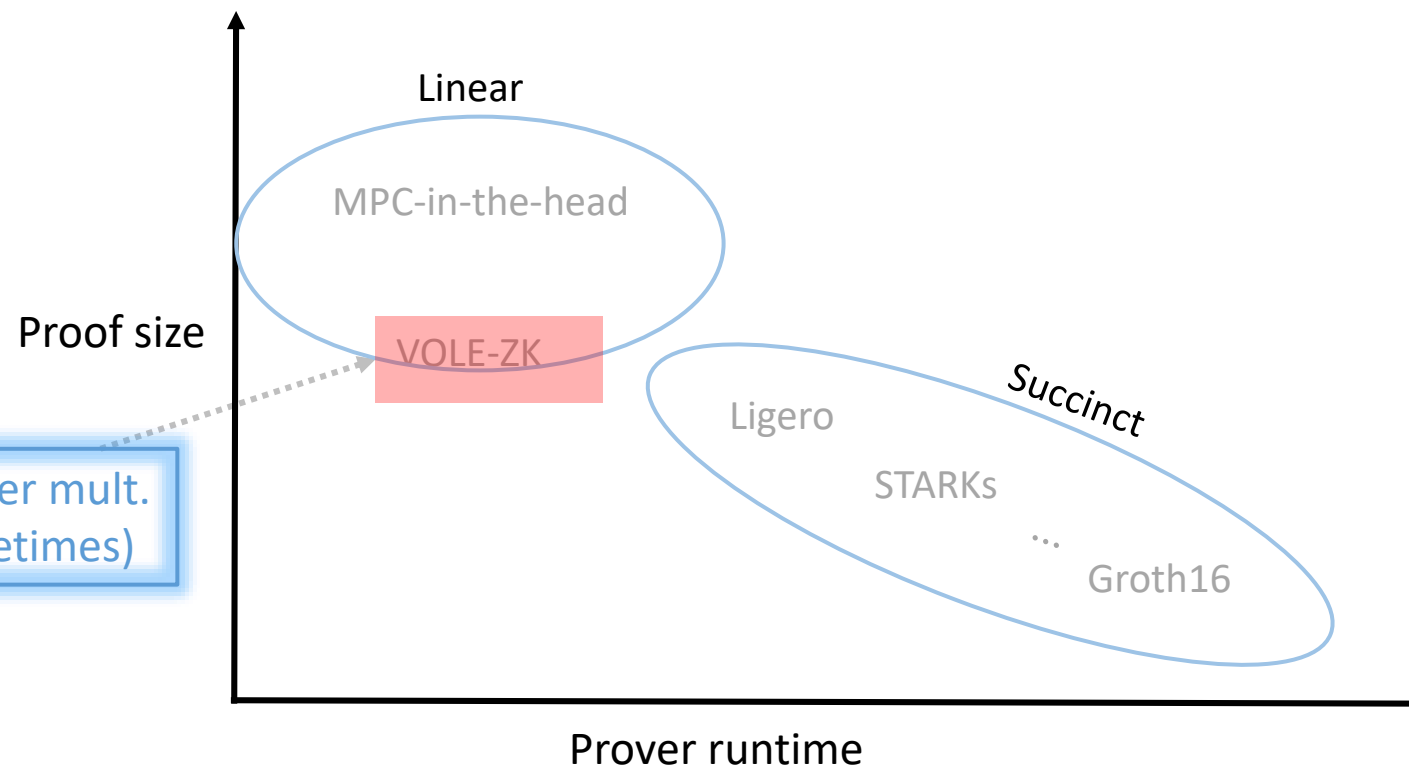
VOLE-in-the-head + NIZK



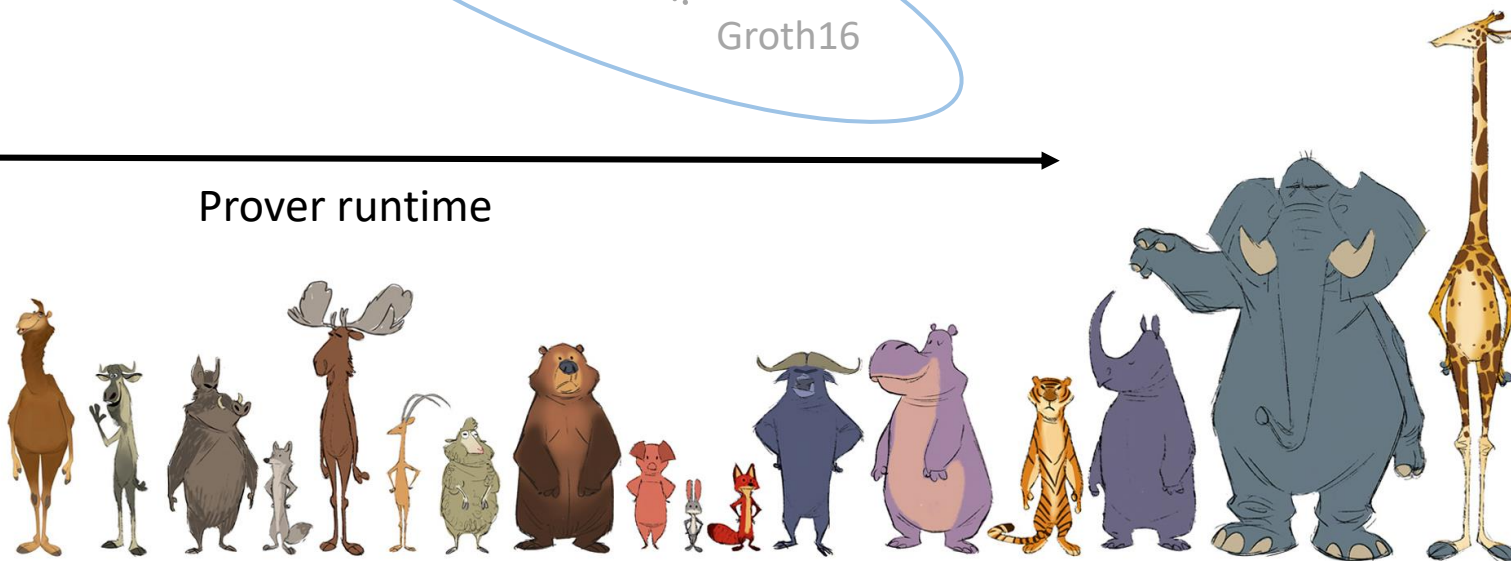
FAEST PQ signature



Families of ZK Proofs



Size: $\approx 1 \times \mathbb{F}$ element per mult.
designated verifier (sometimes)

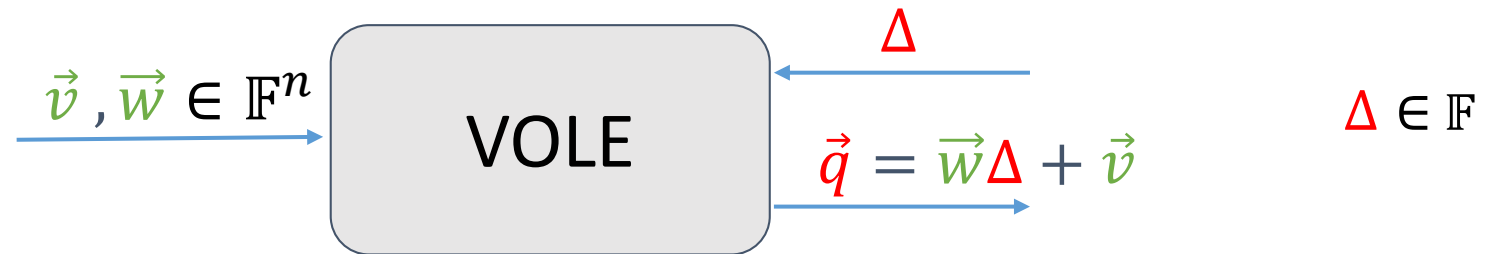
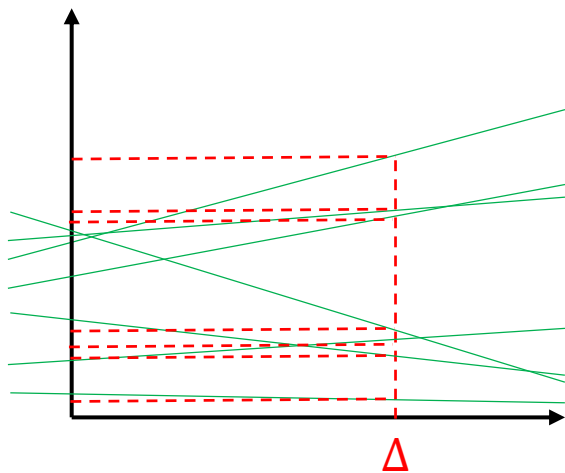


VOLE-ZK

ZK proofs in the [designated verifier](#) setting



Vector Oblivious Linear Evaluation: ideal functionality



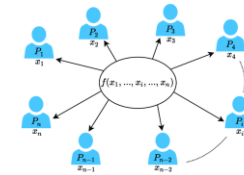
Today: \vec{v} always uniform

Variant: random **VOLE** where \vec{w} also uniform

What is VOLE good for?

Fundamental **building block** in many cryptographic protocols:

- General-purpose secure computation
- Oblivious transfer
 - Implied by variant of VOLE
- Private set intersection
 - Contact discovery; online advertising

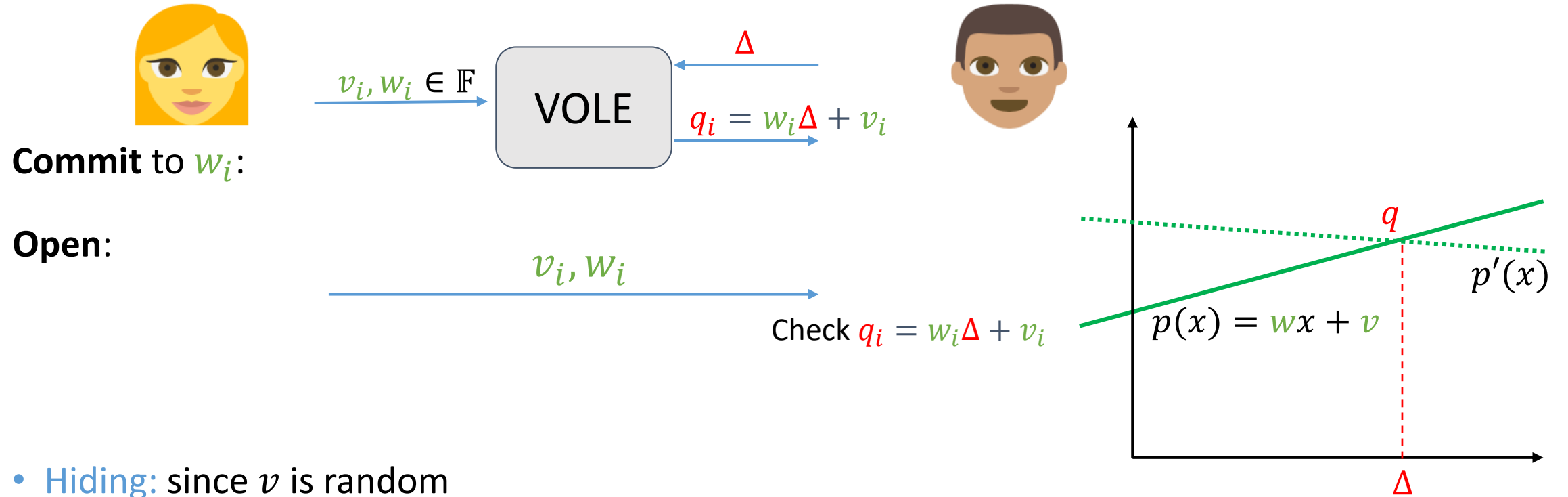


How do we build VOLE?

- Linearly homomorphic encryption (LWE, DCR)
 - High communication and/or computation
- Pseudorandom correlation generators (“Silent” VOLE)
 - Learning parity with noise
 - Random, length- m VOLE: $O(\log m)$ communication [BCGI 18, BCGIKS 19, WYKW20,]
- Oblivious transfer extension (SoftSpokenVOLE [Roy 22])
 - Mainly symmetric primitives, fast
 - $O(\log m)$ communication for small fields

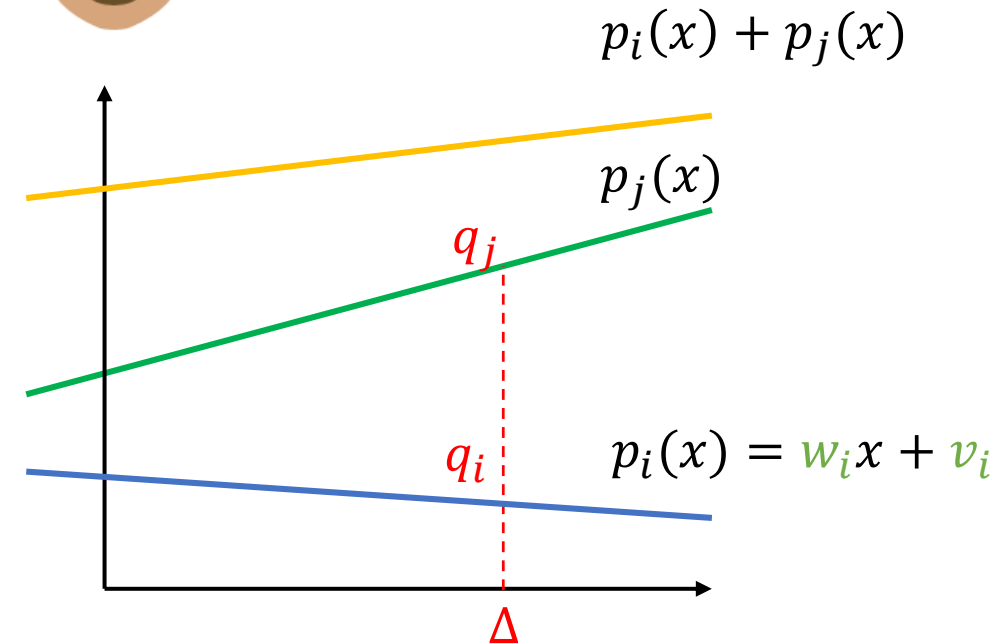
Information-theoretic commitments from VOLE

[CF 13, BMRS 21, WYKW 21]



- **Hiding:** since v is random
- **Binding:** opening to $w' \neq w$ requires guessing Δ , prob. $1/|\mathbb{F}|$

Commitments are linearly homomorphic



Add w_i and w_j :

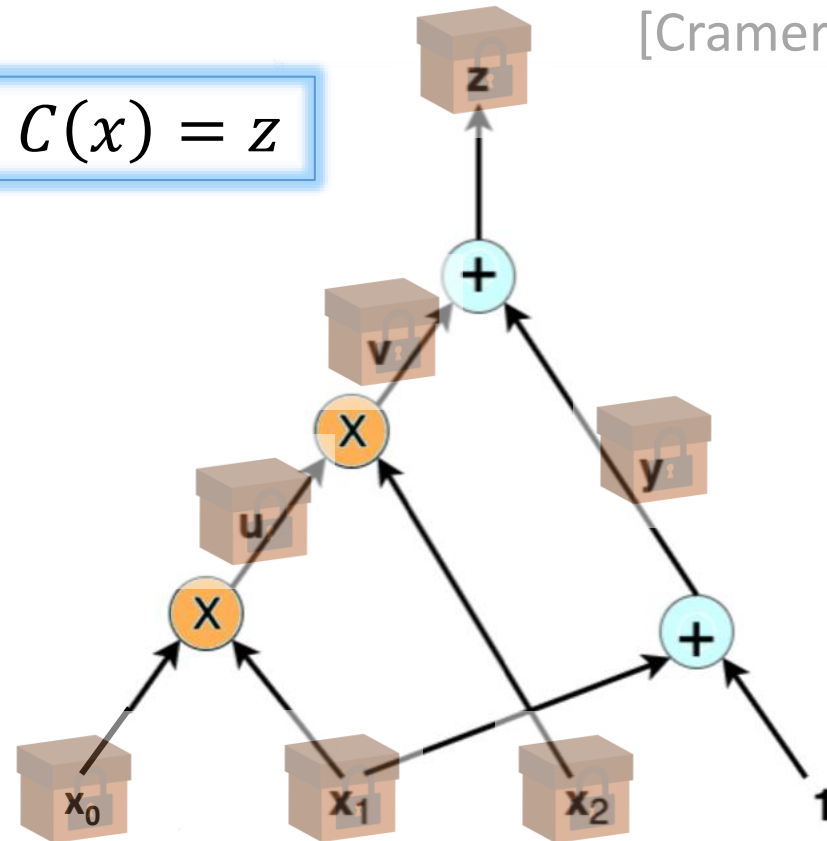
- Alice computes $p_i(x) + p_j(x) = (w_i + w_j)x + \dots$
- Bob computes $q_i + q_j$

Proving circuits with linear commitments

[Cramer-Damgård 97]

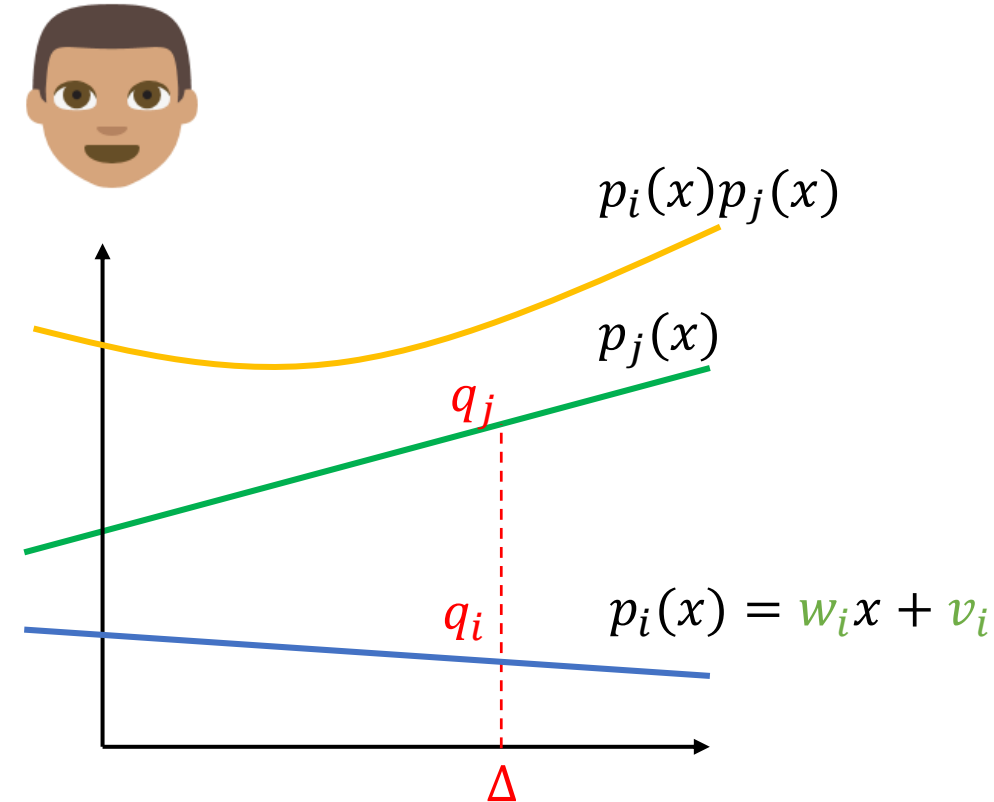
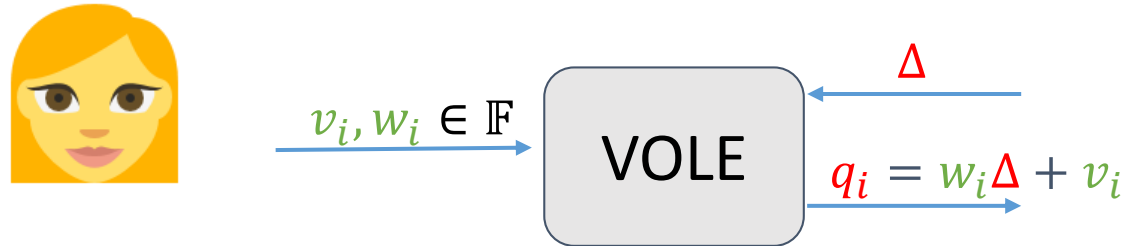
Goal: prove knowledge of x such that $C(x) = z$

- Commit to **extended witness** \vec{w}
 - inputs, + output wire of every mult.
- Evaluate linear gates
 - Using linear homomorphism
- **Prove correctness** of multiplications



How to prove multiplication gates? Multiplicative homomorphism!

[CF 13, DIO 21, WSWW 21]



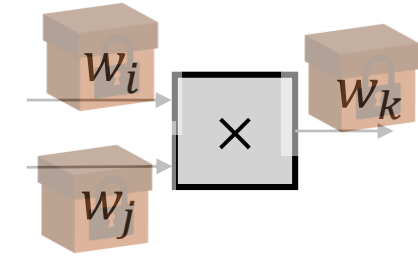
Multiply w_i and w_j :

- Alice computes $p_i(x) \cdot p_j(x) = w_i w_j x^2 + \dots$
- Bob computes $q_i \cdot q_j$

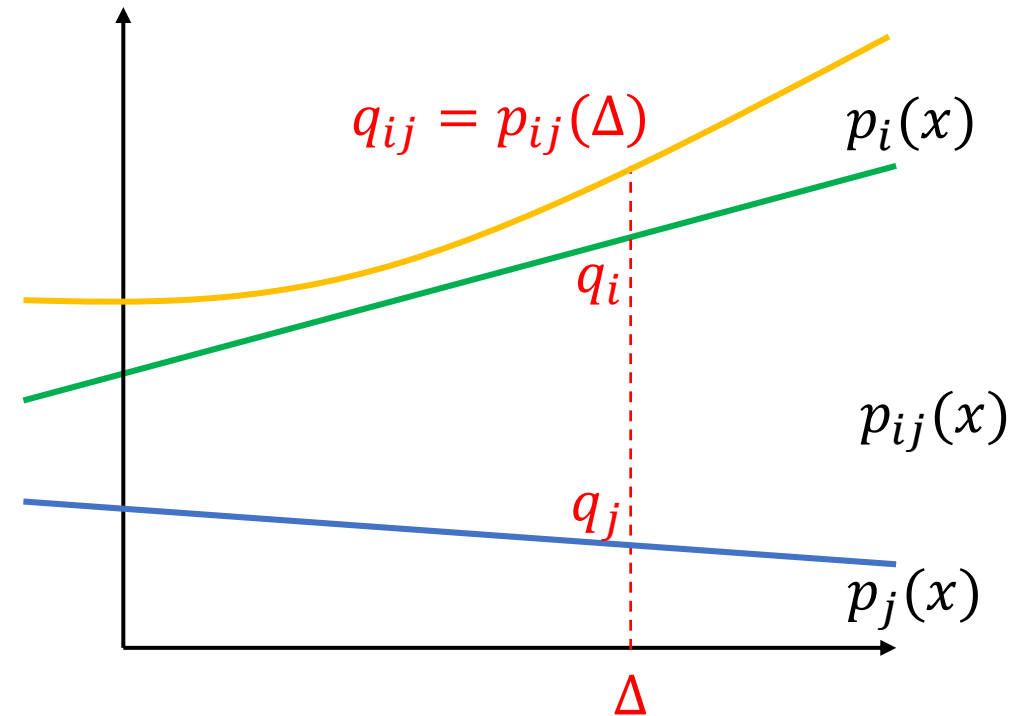
Degree increases!
 \Rightarrow opening soundness error grows to $2/|\mathbb{F}|$

Multiplication gates in VOLE-ZK

[DIO 21, YSWW 21]



- Multiply commitments to w_i , $w_j \Rightarrow$ quadratic polynomial
 - $p_{ij}(x) = t_0 + t_1x + w_iw_jx^2$
- Let $z(x) := p_{ij}(x) - xp_k(x)$
 - Should be degree-1
 - Open and check
 - First, **mask** with random deg-1 commitment



Full ZK proof from VOLE: Initial Protocol

[DIO 21]



$z_i(x)$ for i -th mult. gate (masked)

Soundness error:

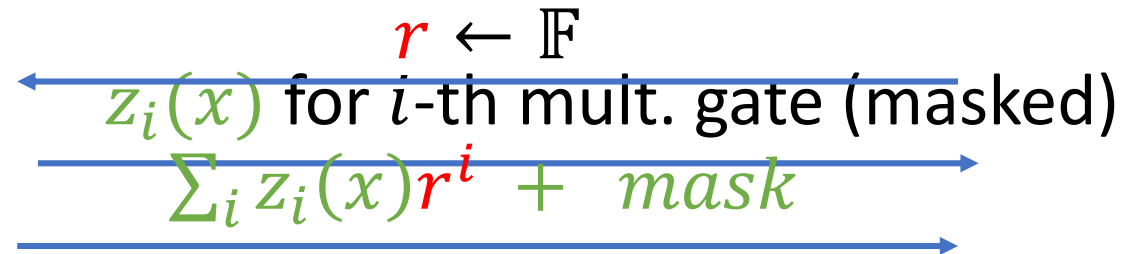
- $2/|\mathbb{F}|$

Cost for m multiplications:

- VOLE + $2m$ field elements

Optimization: batching multiplications

[YSWW 21]



Soundness error:

- $2/|\mathbb{F}| + m/|\mathbb{F}|$

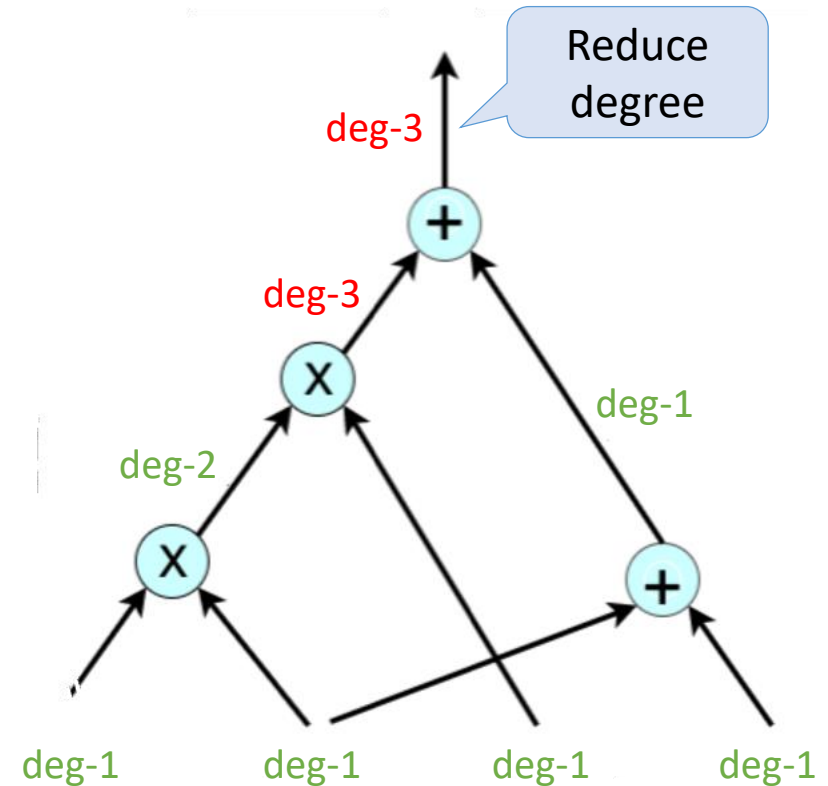
Cost for m multiplications:

- Length- $(n + m + 1)$ VOLE

Exploiting higher-degree multiplicative homomorphism

[YSWW 21, BBGMORRRS 24]

- General degree-reduction gadget:
 - $p(x) = a_0 + a_1x + \dots + wx^d$
 - Commit to fresh w : $p_w(x) = a' + wx$
 - Show that $z(x) := p(x) - x^{d-1}p_w(x)$ is deg- $(d - 1)$ commitment to zero
- Circuit evaluation:
 - Lazily reduce degree on-the-fly



Communication complexity of VOLE-ZK with lazy reduction

- Cost per degree reduction:
 - Create fresh commitment: $1 \times$ VOLE element
 - Open masked commitment: send $d - 1$ field elements
(amortized via batch check)
- For circuit with m multiplications, using max. degree d :
 - $\leq \frac{m}{\log d} + d$ field elements – **sublinear in circuit size!**

Improvements/extensions

- Circuits over \mathbb{F}_2 : [YSWW 21]
 - Let $w \in \mathbb{F}_2$, but use **subfield VOLE** $q = w\Delta + v$ in \mathbb{F}_{2^λ}
- Circuits over \mathbb{Z}_{2^k} [BBMS 22]
 - Use VOLE $q = w\Delta + v$ in $\mathbb{Z}_{2^{k+\lambda}}$
- Mixed Boolean/arithmetic circuits [BBMRS 21, YYXKW 21]
 - VOLE in \mathbb{F}_2 and \mathbb{F}_p , prove consistency
- ...

Performance of VOLE-ZK

Threads	Boolean circuits	Arithmetic circuits
1	7.6 M gates/s	4.8 M gates/s
4	15.8 M gates/s	8.9 M gates/s

Numbers from QuickSilver [YSWW21]: degree-2 checks over local network, including setup time for LPN-based VOLE

Summary: what's VOLE-ZK good for?

Pros:

Information-theoretic
(after VOLE setup)

Flexible choice
of field/ring

Low proving time +
memory

Cons:

Proof size: linear-ish
(but small constants)

Designated verifier

Example use-cases:

- Proof of well-formed LWE ciphertexts
- Anonymous credentials

- Ensuring MPC input consistency
- Proof of vulnerability

Credits

[CF 13] Catalano, Fiore

Practical Homomorphic MACs for Arithmetic Circuits

Eurocrypt 2013

[WYKW 21] Weng, Yang, Katz, Wang

Wolverine: Fast, Scalable, and Communication-Efficient Zero-Knowledge Proofs for Boolean and Arithmetic Circuits.

S&P 2021

[BMRS 21] Baum, Malozemoff, Rosen, Scholl

Mac'n'Cheese: Zero-Knowledge Proofs for Boolean and Arithmetic Circuits with Nested Disjunctions

Crypto 2021

[DIO 21] Dittmer, Ishai, Ostrovsky

Line-Point Zero Knowledge and its Applications

ITC 2021

[YSWW 21] Kang Yang, Pratik Sarkar, Chenkai Weng, Xiao Wang

QuickSilver: Efficient and Affordable Zero-Knowledge Proofs for Circuits and Polynomials over Any Field.

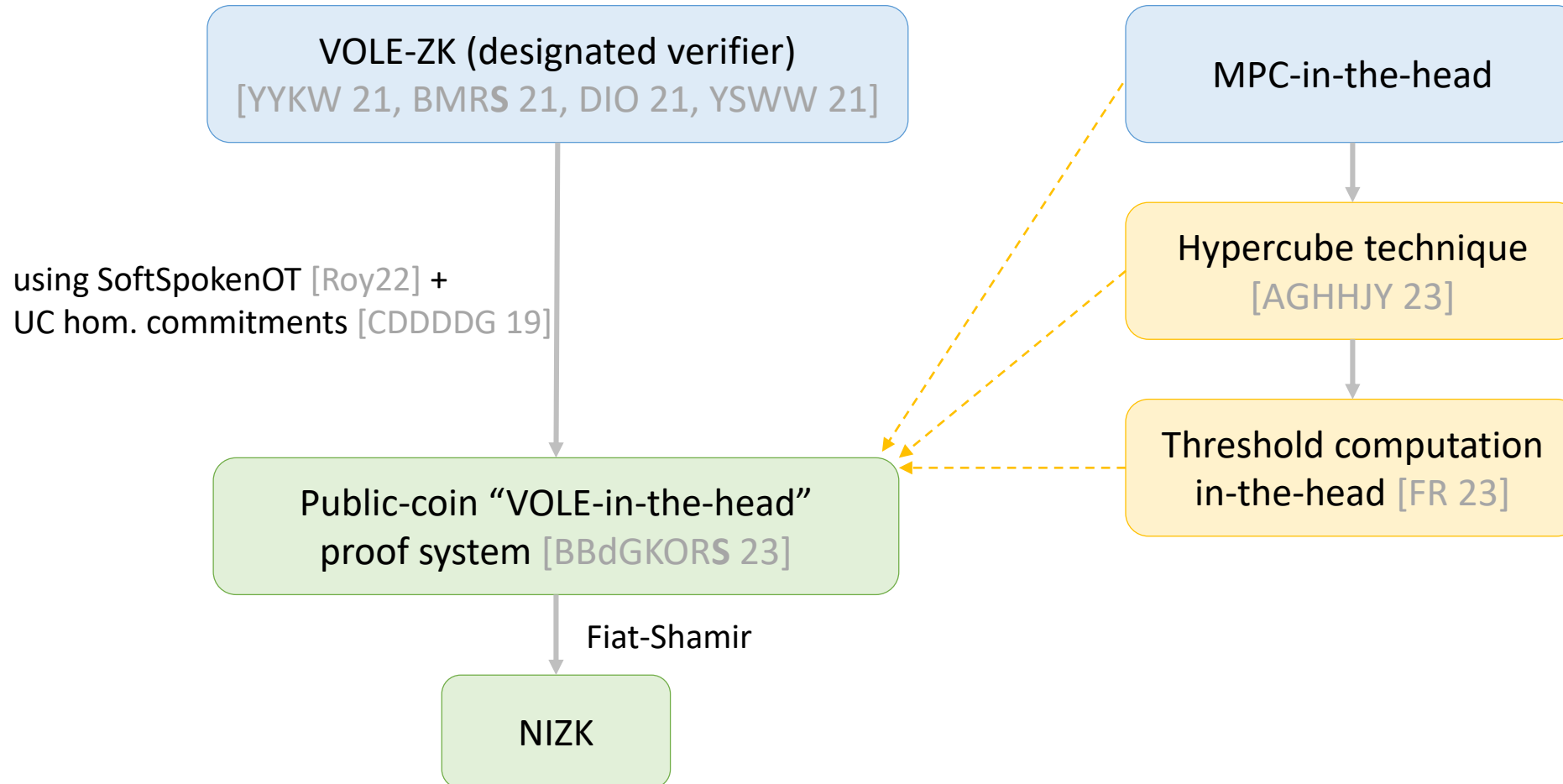
CCS 2021

VOLE-in-the-Head

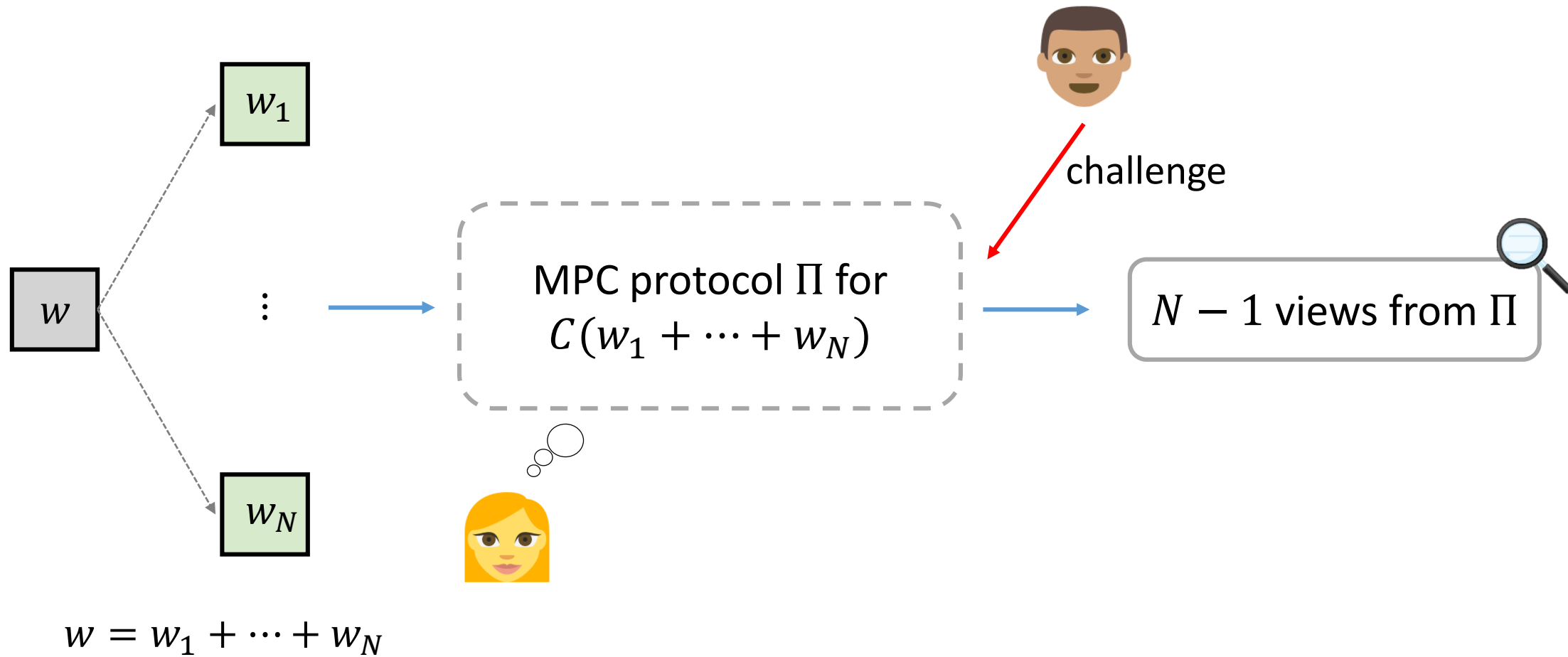
Adding public
verifiability



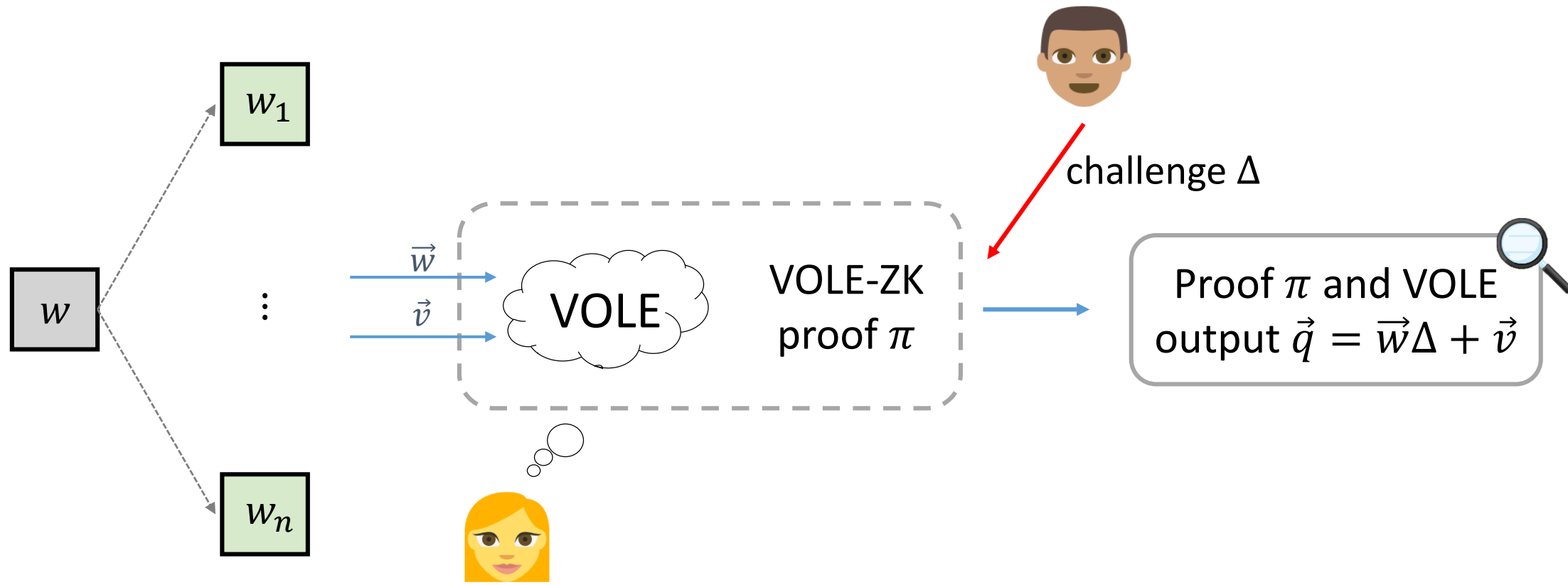
Story of VOLE-in-the-head



Recap: MPC-in-the-Head

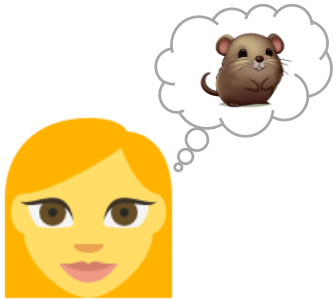


VOLE-in-the-head: high-level overview



$$w = w_1 + \dots + w_n$$

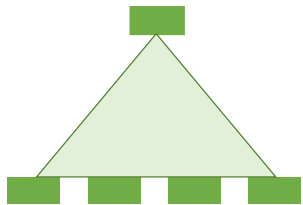
Goal: implement public-receiver VOLE functionality



Building Public-Receiver VOLE



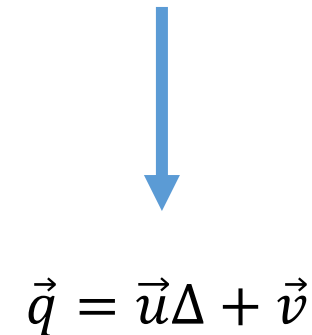
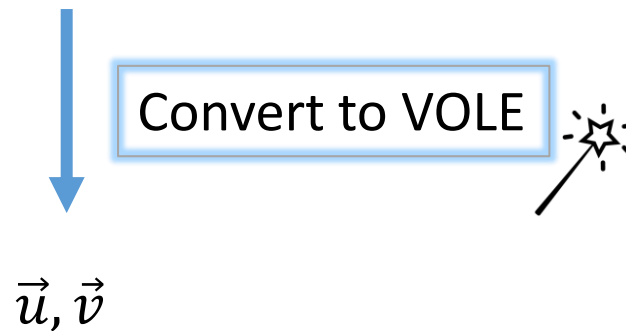
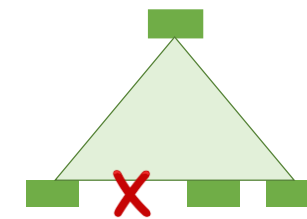
All-but-one
vector commitment



Commit to N random strings

Challenge Δ

Open $N - 1$



Conversion to VOLE

Key observation: $(N - 1)$ -out-of- N commitment \Rightarrow VOLE in \mathbb{F}_N

[Roy 22, BBdGKORS 23, CDI 05]



w_1

\vdots

w_N

Commit to $\vec{w}_i \in \mathbb{F}_N^k$

$\Delta \leftarrow \mathbb{F}_N$

Open \vec{w}_i , for $i \neq \Delta$



$$\begin{aligned} \vec{w} &= \vec{w}_1 + \dots + \vec{w}_N \\ v &= -1 \cdot \vec{w}_1 - \dots - N \cdot \vec{w}_N \quad (\text{over } \mathbb{F}_N) \end{aligned}$$

$$\begin{aligned} \vec{q} &= \sum_{i=1}^N \vec{w}_i \cdot (\Delta - i) \\ &= \vec{w} \Delta + \vec{v} \end{aligned}$$

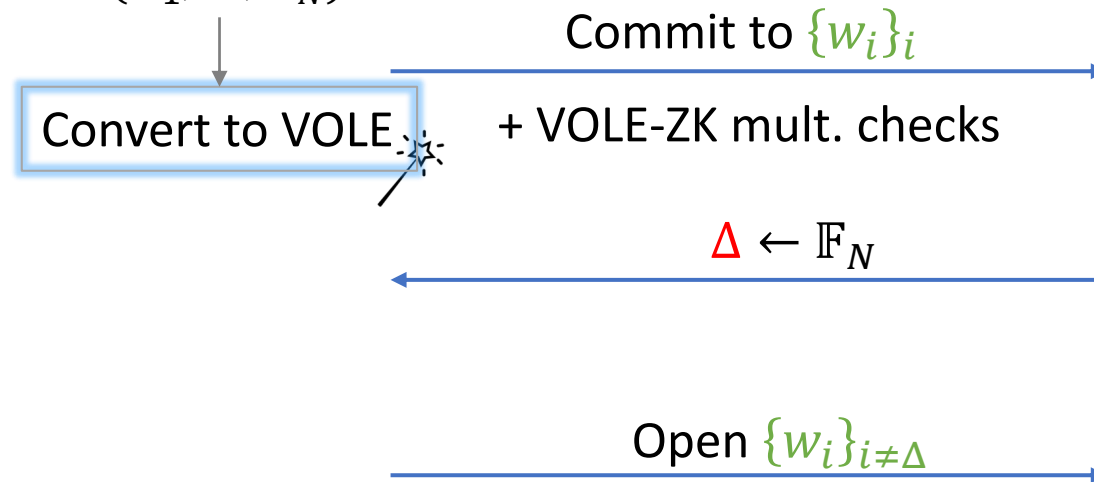
Public-Receiver VOLE: Summary

- If \vec{w} is random, can **succinctly** commit to **arbitrarily long** VOLE
 - Commit to N seeds, expand to \vec{w}_i 's with **PRG**
- Cost for $\vec{w} \in \mathbb{F}_N^\ell$:
 - Communication: **$O(\log N)$** seeds
 - Computation: **$O(N)$**
- For non-random w :
 - Send extra $|w|$ field elements

Simplified VOLE-in-the-head: 3-round sigma protocol for arithmetic circuit satisfiability



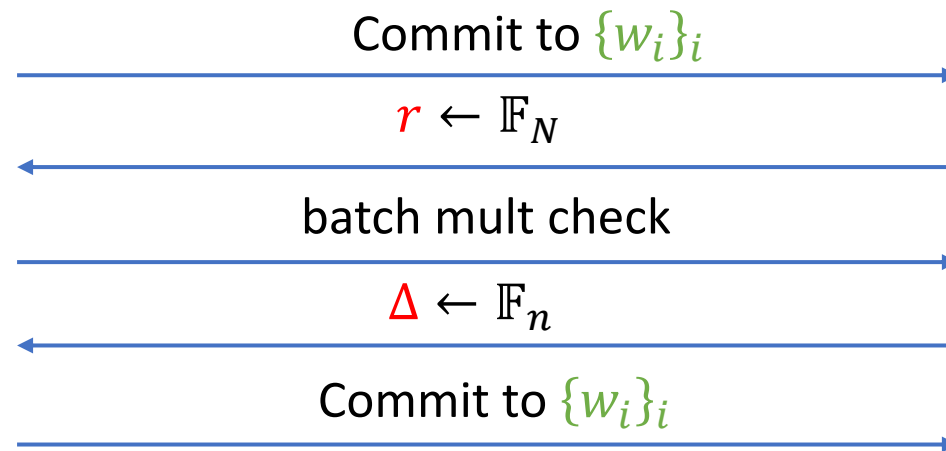
Extended witness shares: (w_1, \dots, w_N)



Soundness error:

- $2/N$
- Shrink via parallel repetition

5-round VOLE-in-the-head: batching multiplications



Soundness error:

- $3/N$

The Curse of Parallel Repetitions with >3 Rounds

- Problem: Fiat-Shamir can worsen security for >3 -round protocols
 - Adversary can attack each round independently
- **Solution:** more rounds!

VOLE-in-the-head, last optimization: avoiding parallel repetition

- Naïve repetition:

- τ sets of VOLEs $\vec{q}_i = \vec{w}\Delta_i + \vec{v}_i$ in \mathbb{F}_q^ℓ , with same witness
- τ independent VOLE-ZK checks in \mathbb{F}_q

- **Idea:** pack into a single VOLE and run one check

- Combine and lift VOLEs into \mathbb{F}_{q^τ}
- Gives subfield VOLE $\vec{q} = \vec{w}\Delta + \vec{v}$, where $\Delta = \sum_i \alpha^i \Delta_i$ in \mathbb{F}_{q^τ}
(α : generator of \mathbb{F}_q over \mathbb{F}_{q^τ})

Challenge: need to prove τ witnesses are consistent

- When repeating τ times:
 - Ensure prover uses **consistent w**
- Check consistency via [Roy 22]:

Linear, universal hash H

←-----

$$\tilde{w} = H(\vec{w}), \tilde{v}_i = H(\vec{v}_i)$$

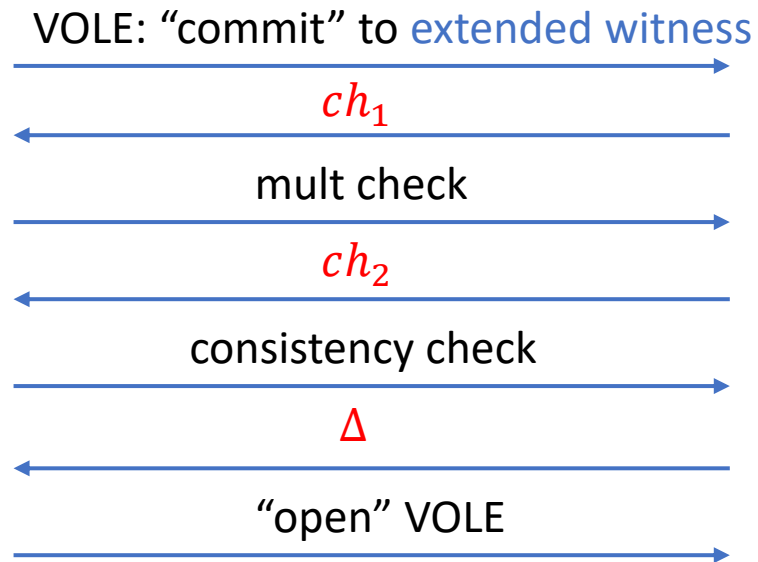
-----→

$$\text{Check } H(\vec{q}_i) = \tilde{w}\Delta + \tilde{v}_i$$

- Security:
 - Intricate analysis, esp. to prove compatibility with Fiat-Shamir

Final Protocol for \mathbb{F}_2 : Overview

[BBdGKORS 23]



Communication cost:

- \mathbb{F}_2 : ≈ 10 -16 bits per AND
- \mathbb{F}_p variant: 1-2 field elements per mult

Application to Post-Quantum Signatures



NIST

Call for Additional Digital Signature Schemes

Standardization of Post-Quantum Signatures



Dilithium

Security:
Speed:
Size:

Structured lattices
Fast
2.4 kB



Falcon

Structured lattices
Fast
0.7 kB

SPHINCS+

SPHINCS+

Hash-based
Slow signing
8-17 kB



FAEST

AES/hash-based
Fast-ish
4.2-6 kB

2023: new algorithms submitted to diversify candidates



Paradigm for ZK-based signatures

- Keypair $sk, pk = (x, \text{Enc}_{sk}(x))$, for symmetric Enc
- Signature:
 - NIZK proof of knowledge of sk
 - With Fiat-Shamir transform
- Challenge: finding a **ZK-friendly** Enc
 - Custom cipher designs with few AND gates: e.g. LowMC (Picnic)
 - Code-based: syndrome decoding, MinRank

AES: a ZK-friendly OWF?

ShiftRows, MixColumns, AddRoundKey:

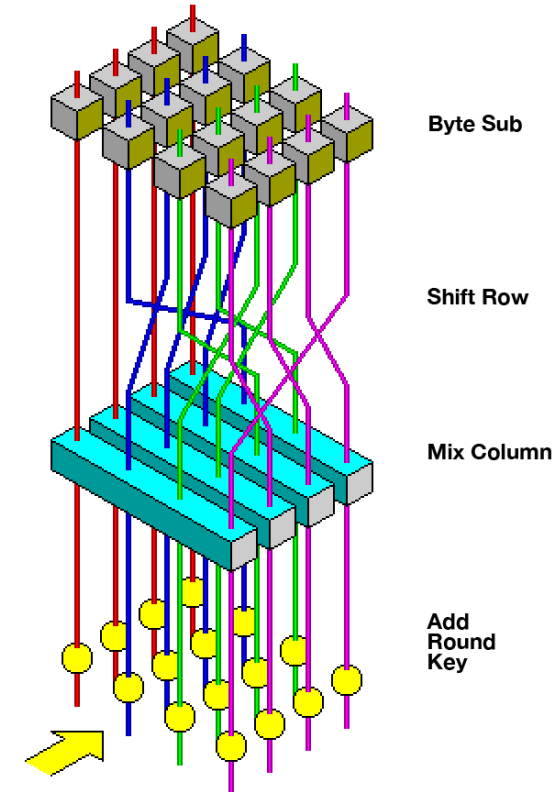
- All **linear** over \mathbb{F}_2

SubBytes:

- Nice representation in \mathbb{F}_{2^8}

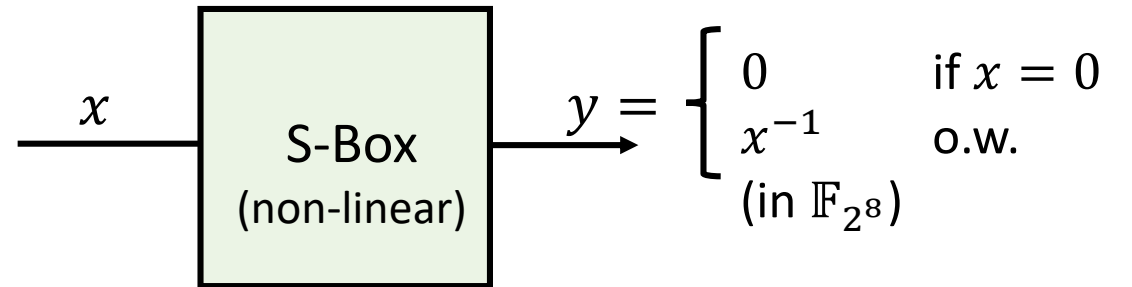
Approach for ZK:

- Commit to state after each round
- Prove **consistency** of rounds $i, i + 1$



Proving the AES S-Box, v1

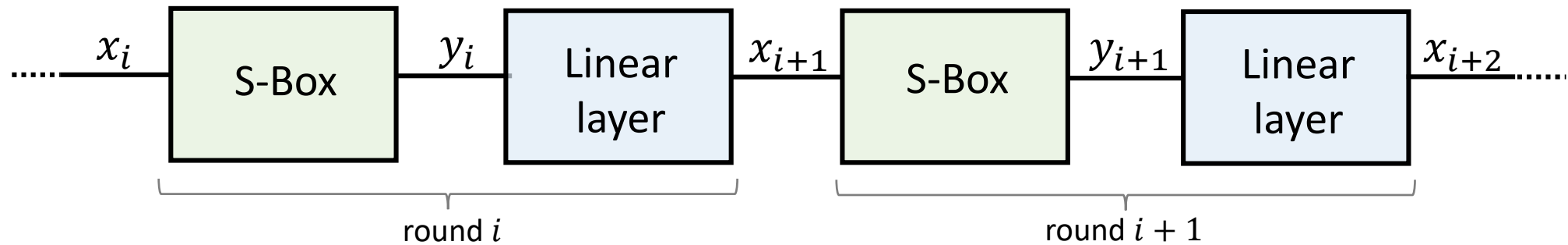
- Given commitments to (bits of) x, y over \mathbb{F}_2
- Lift to x, y in \mathbb{F}_{2^8}
- Verify S-Box with $xy = 1$



What if $x = 0$?

- Sample key such that [this never happens](#)
- 1-2 bits less security

Proving the AES S-Box, v2



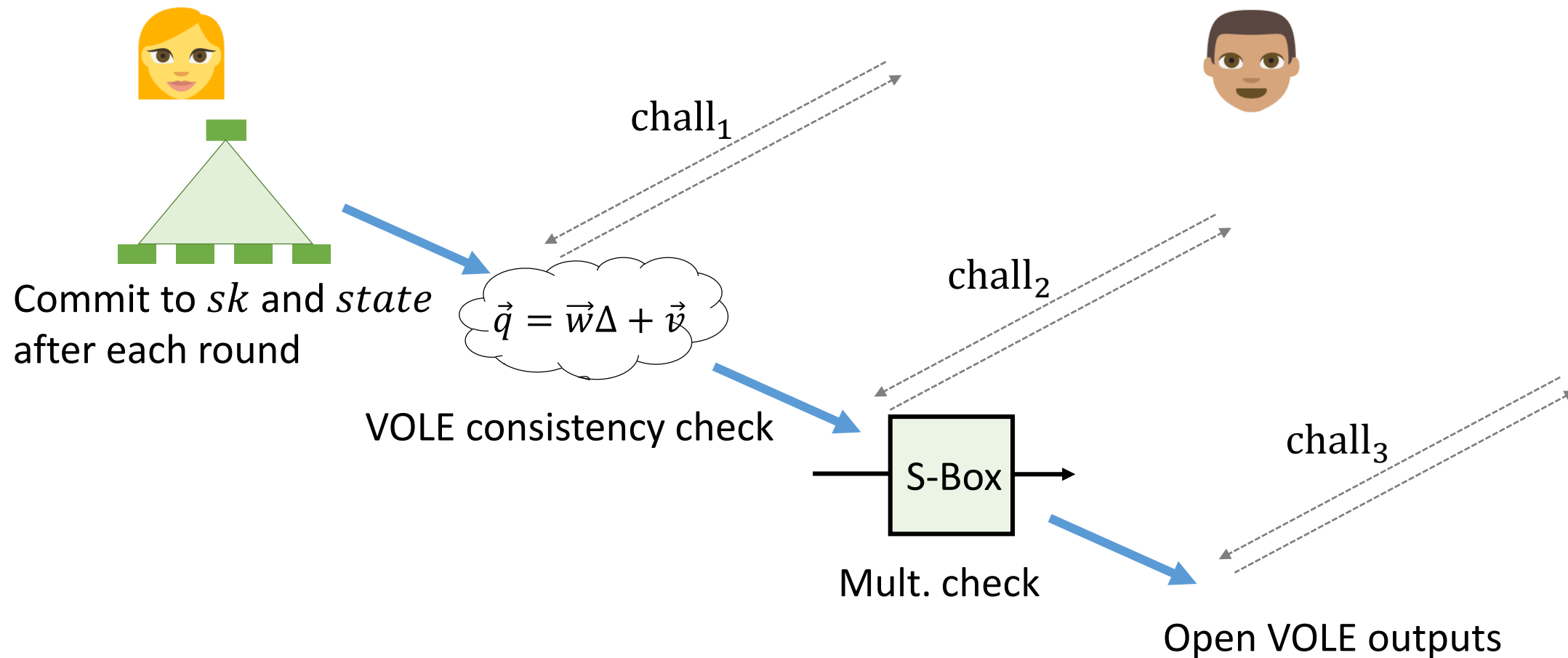
- Observation: S-box and its inverse are **degree-7** functions over \mathbb{F}_2
- Verify **two S-Boxes at once** by checking:

$$\text{Linear}(\text{SBox}(x_i)) = \text{SBox}^{-1}(\text{Linear}^{-1}(x_{i+2}))$$

- Only need to commit to **every other x_i** value!
- Drawback: degree-7 check instead of degree-2

Impact: 5-10% smaller signatures [BBMORRRS 24]

FAEST summary: proving $pk = AES_{sk}(x)$



FAEST performance

	Sign (ms)	Verify (ms)	sig (bytes)
FAEST-128s	4.4	4.1	5 006
FAEST-128f	0.4	0.4	6 336
FAEST-256s	14.4	14.4	22 100
FAEST-256f	1.6	1.6	28 400

- Signature sizes:
 - Smaller than SPHINCS+ and most MPCitH-based candidates
 - Faster signing, slower verification vs SPHINCS+
- Latest optimizations/variants: 10-20% smaller for same/faster signing

Conclusion

VOLE-ZK proofs:

- Simple proof systems for circuit satisfiability
- **Fast** prover, **flexible**, linear-ish size
- VOLE-in-the-head: **publicly verifiable**
 - Useful for PQ signatures

Resources:

<https://ia.cr/2023/996>

<https://faest.info>



Credits

[Roy 22] Roy

SoftSpokenOT: Communication-Computation Tradeoffs in OT Extension

Crypto 2022

[AGHHJY 22] Aguilar-Melchor, Gama, Howe, Hülsing, Joseph, Yue

The Return of the SDitH

Eurocrypt 2022

[BBdGKORS 23] Baum, Braun, de Saint Guilhem, Klooß, Orsini, Roy, Scholl

Publicly Verifiable Zero-Knowledge and Post-Quantum Signatures From VOLE-in-the-Head

CRYPTO 2023

FAEST Digital Signature Scheme

+ Majenz, Mukherjee, Ramacher, Rechberger

Submission to NIST PQC call

[FR 23] Fenuil, Rivain

Threshold Computation in the Head: Improved Framework for Post-Quantum Signatures and Zero-Knowledge Arguments

[BBMORRRS 24] Baum, Beullens, Mukherjee, Orsini, Ramacher, Rechberger, Roy, Scholl

One Tree to Rule Them All: Optimizing GGM Trees and OWFs for Post-Quantum Signatures

Asiacrypt 2024